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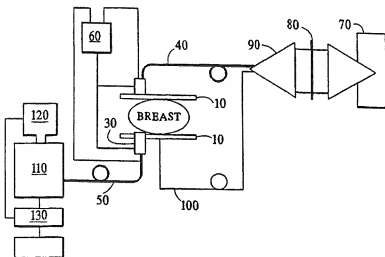
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(54) Title: OPTICAL IMAGING AND OXIMETRY OF TISSUE



(57) Abstract: Systems and methods are disclosed for detecting at least one region of a sample having an absorption level different from a background level of absorption in the sample by obtaining thicknesses of the sample and intensities of light transmitted through the sample at a plurality of locations. The system includes glass plates (10) for compressing the tissue, distance sensors (20, 30), illuminations fibers (40) connected to a light source (70), and collection fibers (50) connected to spectrograph (110). Spatial second derivatives are calculated from products of the thicknesses of the sample and the intensities of the transmitted light for the level of absorption within the sample. The data points are compared to detect the region of the sample having an absorption level different from the background lesions, such as cancer. The new systems and method can be used to optically image, detect, and characterize tissue.



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## Optical Imaging and Oximetry of Tissue

### CLAIM OF PRIORITY

This application claims priority under 35 USC §119(e) to U.S. Provisional Patent Application Serial No. 60/364,239, filed on March 13, 2002, the entire contents of which is hereby incorporated by reference in its entirety.

### TECHNICAL FIELD

This invention relates to optical methods, and more particularly to optical methods of imaging and oximetry.

### BACKGROUND

Optical techniques provide a safe and non-invasive means of studying human tissue. For example, optical mammography is a technique for detecting cancer in the human breast. This technique uses wavelengths of light in the near infrared (NIR) spectrum to provide an image where contrast is based on the absorption of the light by hemoglobin. Hemodynamic changes such as an increase in the vascular density (resulting from angiogenesis) and changes in the blood flow and oxygenation typically occur at tumor sites. See, e.g., S. Zhou et al., Proc. SPIE 2979:98-106, 1997. The increase in vascular density induces a local increase in the optical absorption that may allow for the detection of breast lesions, which can be benign or malignant.

The oxygenation level of a lesion has been said to indicate whether a lesion is benign or malignant. Measurements of the partial pressure of oxygen in tumors have shown that hypoxic or anoxic conditions often exist in malignant tumors, but not in benign lesions. See, P. Vaupel et al., Cancer Res. 51, 3316-3322, 1991; P. Hohenberger et al., Breast Cancer Research and Treatment 48, 97-106, 1998; and P. Vaupel et al., Seminars in Oncology 28, 29-35, 2001. Therefore, measurements of the oxygenation levels of tissues *in vivo* can distinguish benign from malignant lesions in the human breast.

5

## SUMMARY

The invention features improved systems and techniques for imaging a region of tissue using optical methods and improved techniques for measuring the oxygenation level of regions of interest in tissue in a living subject. These techniques are non-invasive, using safe levels of optical radiation. The optical imaging systems and techniques can be applied to a wide variety of samples, including human brain, breast, and muscle tissue, as well as any organ that is optically accessible. These techniques provide enhanced image contrast, facilitating detection of lesions and tumors and differentiating between benign and malignant varieties. In addition, the new techniques for measuring the oxygenation level of a region of interest, such as a lesion or tumor, are useful, e.g., to further assess the malignancy of these regions.

In general, the new systems and techniques improve the diagnostic capability of optical mammography. While these systems and methods can be used to image breast tissue and oximetry of breast tumors, they can also be used to measure the oxygenation level of other hemoglobin-rich localized tissue areas. For example, the focal increase in cerebral hemoglobin concentration induced by selected cerebral activity, a localized hematoma, and large blood vessels can be investigated using the methods presented here to measure oxygenation levels.

In one aspect, the invention features imaging systems including one or more light sources that provide light to a sample, a detector that detects light transmitted through the sample, and a processor that calculates data using spatial second derivatives of products of thicknesses of the sample and intensities of the transmitted light. The new systems can include a number of features. For example, the light sources can be laser diodes, the detectors can be photomultiplier tubes or avalanche photodiodes, and the processor can be a computer. The systems can be implemented using known equipment, and the light sources and detectors can be separated by a fixed distance, e.g., to simplify data analysis. In addition, the systems can include a monitor to display an image of the data and/or a computer-readable medium to store the data.

5           The invention also features a computer-readable medium having a program that is  
used by a processor to receive data from a sample that represents measures of thicknesses  
of the sample and intensities of light transmitted through the sample, and to process the  
data from the sample using spatial second derivatives of products of the thicknesses of  
the sample and intensities of the transmitted light to generate values that represent an  
10 image of the sample. This computer-readable medium is useful to transport and  
distribute the new algorithms to various users.

          In a further aspect, the invention features a computer-readable medium including  
a program that is used by a processor to receive data from a sample that represent  
measures of intensities of light transmitted through the sample for at least two  
15 wavelengths of light, select a pair of wavelengths to minimize a difference between  
relative changes in the intensities of the light transmitted through a region of the sample,  
where the relative changes in intensities of the light are measured relative to a  
background intensity of light transmitted through the sample, and process the relative  
changes in intensities of the light at the pair of wavelengths using measures of a  
20 background reduced scattering coefficient of the sample at the pair of wavelengths and  
molar extinction coefficients for deoxy-hemoglobin and oxy-hemoglobin to generate  
values that represent an absolute level of oxygenation of the region of the sample.

          The computer-readable medium can also include both programs to process spatial  
second derivatives and to calculate absolute levels of oxygenation of a sample, as  
25 described herein.

          In other aspects, the invention features methods that can be carried out using the  
new systems, such as methods of detecting at least one region of a sample having an  
absorption level different from a background level of absorption in the sample. These  
methods involve obtaining thicknesses of the sample and intensities of light transmitted  
30 through the sample at a plurality of locations, calculating data points for the locations  
using spatial second derivatives of products of the thicknesses of the sample and the  
intensities of the transmitted light, and comparing the data points to detect a region of the

5 sample having an absorption level different from a background level of absorption within the sample.

Using the spatial second derivatives enhances differentiation of regions of the sample having different levels of absorption from the background level of absorption within the sample. In addition, this approach has the advantages of being insensitive to  
 10 the size, shape, and depth of the region within the sample. Embodiments of this aspect of the invention include one or more of the following features. The sample can be tissue, e.g., breast, brain, or muscle tissue. Consequently, the methods and systems are useful in performing optical mammography, studying brain activation, and identifying ischemic or underperfused regions in muscle. The region of the sample having an absorption level  
 15 different from the background level of absorption within the sample can be a lesion or tumor. This region can be cancerous. Thus, the systems and methods can be applied to identify lesions, including cancerous tumors, within breast tissue. The systems and methods can also be used to identify tumors regardless of their size, shape, or depth of the tumor within the breast.

20 The methods can further involve illuminating the sample with light and detecting light transmitted through the sample. This light can have two or more wavelengths. Illumination with multiple wavelengths of light is useful to apply the new methods of oximetry discussed herein. One or more of these wavelengths can be in the near infrared region of the spectrum. Infrared light exhibits a desirable amount of absorption within  
 25 human tissue, e.g., breast tissue. The transmitted light can be generated by coplanar sources and the plurality of locations where the light is detected can be coplanar. Using coplanar sources and detectors facilitates data analysis.

In these systems and methods, the data points  $N''(x,y)$  are calculated using the second derivative of an equation  $N(x,y) = r_0 ac_0 / r(x,y) ac(x,y)$ , where  $x$  and  $y$  are respective  
 30  $x$ - and  $y$ -coordinates of the coplanar locations,  $r_0$  is the maximum thickness of the sample,  $ac_0$  is the intensity at the location where the thickness of the sample is  $r_0$ ,  $r(x,y)$  is the thickness of the sample at location  $(x,y)$ , and  $ac(x,y)$  is the intensity at location  $(x,y)$ . These data points can be displayed in an image, as this formula provides useful data

5 points for imaging. Alternatively or additionally, they can be stored in a computer-readable medium. By storing these data points on a computer-readable medium, the image can be used for later comparison or displayed at alternate locations and times.

In another aspect of the invention, method are used, in the new systems, to calculate an absolute oxygenation level of a region of a sample by selecting a pair of  
 10 wavelengths of light to minimize a difference between relative changes in intensity of the light transmitted through the region of the sample, where the relative changes in intensity of the light are measured relative to a background intensity of light transmitted through the sample; obtaining measures of background reduced scattering coefficients of the sample at the pair of wavelengths; and calculating the absolute oxygenation level of the  
 15 region of the sample using the relative changes in intensity of the light at the pair of wavelengths, the measures of the background reduced scattering coefficients of the sample at the pair of wavelengths, and molar extinction coefficients for deoxy-hemoglobin and oxy-hemoglobin.

These methods provide accurate quantitative measurements of the oxygenation  
 20 level of regions of interest within a sample. Such measurements are valuable to differentiate between benign and cancerous tumors within breast tissue. Using an appropriate pair of wavelengths of light provides measurements that are largely independent of the tumor size, shape, and location inside the breast. It is significant that the pair of wavelengths for the near-infrared measurement of tumor oxygenation is not  
 25 fixed, but instead depends generally on tumor oxygenation.

These methods (and the computer readable medium) can include the use of the formula

$$SO_2 = \frac{\epsilon_{Hb}(\lambda_2) - \epsilon_{Hb}(\lambda_1) \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\lambda_2}^{(\lambda_2)}}{\Delta I / I|_{\lambda_1}^{(\lambda_1)}}}{[\epsilon_{Hb}(\lambda_2) - \epsilon_{HbO_2}(\lambda_2)] + [\epsilon_{HbO_2}(\lambda_1) - \epsilon_{Hb}(\lambda_1)] \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\lambda_2}^{(\lambda_2)}}{\Delta I / I|_{\lambda_1}^{(\lambda_1)}}},$$

5 where  $SO_2$  is the oxygenation level of the region,  $\Delta I / I_{\theta} |_{\max}^{(\lambda_1)}$  is a maximum value of the relative change in intensity at a first wavelength in the pair of wavelengths,  $\Delta I / I_{\theta} |_{\max}^{(\lambda_2)}$  is a maximum value of the relative change in intensity at a second wavelength in the pair of wavelengths;  $\frac{\mu'_{20}(\lambda_1)}{\mu'_{20}(\lambda_2)}$  is a ratio of the background reduced scattering coefficient at the first wavelength to the background reduced scattering coefficient at the second wavelength;  $\epsilon_{Hb}(\lambda_1)$  and  $\epsilon_{Hb}(\lambda_2)$  are the molar extinction coefficients for deoxy-hemoglobin at the first and second wavelengths, and  $\epsilon_{HbO_2}(\lambda_1)$  and  $\epsilon_{HbO_2}(\lambda_2)$  are the molar extinction coefficients for oxy-hemoglobin at the first and second wavelengths. This formula provides accurate values of oxygenation levels and is useful for ascertaining the malignancy of tumors. The difference between the relative changes in intensity can be zero, as this provides an optimal pair of wavelengths.

10 These methods can also include a number of additional features. For example, the sample can be illuminated with two or more wavelengths of light and the light transmitted through the sample can be detected. The method can also include displaying the absolute oxygenation level of the region in an image and/or storing the absolute oxygenation level of the region in a computer-readable medium. Storage of oxygenation level information facilitates transport and sharing of this information, as well as permitting analysis at another location and/or time.

15 In another aspect, methods are used to determine whether a tumor in a tissue sample is a malignant tumor or a benign tumor. Thicknesses of the sample and intensities of light transmitted through the sample at a plurality of locations for two wavelengths of light are obtained. Spatial second derivatives of products of the sample thicknesses and the intensities of the transmitted light at the locations for the two wavelengths of light are calculated. An oxygenation level of the tumor is then calculated based on the spatial second derivatives for the two wavelengths of light, the molar extinction coefficients of oxy-hemoglobin for the two wavelengths of light, the molar extinction coefficients of hemoglobin for the two wavelengths of light, relative changes in intensity of the light for



the two wavelengths and the measures of the background reduced scattering coefficients of the sample for the two wavelengths. The oxygenation level of the tumor is compared with the oxygenation level of non-tumor regions of the sample to determine whether the tumor is malignant or benign.

These methods provide accurate measurements of the relative oxygenation level of regions of interest within a sample. Such measurements are valuable to differentiate between benign and cancerous tumors within, for example, breast tissue, brain tissue, and muscle tissue.

These methods may use the following formula for calculating an oxygenation level:

$$OL = \frac{\Delta[\text{HbO}_2]^*}{\Delta[\text{HbO}_2]^* + \Delta[\text{Hb}]^*}, \text{ where}$$

$$\Delta[\text{HbO}_2]^* = \frac{\left( \sum_i N''(\lambda_i) \varepsilon_{\text{HbO}_2}(\lambda_i) \right) \left( \sum_i \varepsilon_{\text{Hb}}^2(\lambda_i) \right) - \left( \sum_i N''(\lambda_i) \varepsilon_{\text{Hb}}(\lambda_i) \right) \left( \sum_i \varepsilon_{\text{HbO}_2}(\lambda_i) \varepsilon_{\text{Hb}}(\lambda_i) \right)}{\left( \sum_i \varepsilon_{\text{HbO}_2}^2(\lambda_i) \right) \left( \sum_i \varepsilon_{\text{Hb}}^2(\lambda_i) \right) - \left( \sum_i \varepsilon_{\text{HbO}_2}(\lambda_i) \varepsilon_{\text{Hb}}(\lambda_i) \right)^2},$$

$$\Delta[\text{Hb}]^* = \frac{\left( \sum_i N''(\lambda_i) \varepsilon_{\text{Hb}}(\lambda_i) \right) \left( \sum_i \varepsilon_{\text{HbO}_2}^2(\lambda_i) \right) - \left( \sum_i N''(\lambda_i) \varepsilon_{\text{HbO}_2}(\lambda_i) \right) \left( \sum_i \varepsilon_{\text{HbO}_2}(\lambda_i) \varepsilon_{\text{Hb}}(\lambda_i) \right)}{\left( \sum_i \varepsilon_{\text{HbO}_2}^2(\lambda_i) \right) \left( \sum_i \varepsilon_{\text{Hb}}^2(\lambda_i) \right) - \left( \sum_i \varepsilon_{\text{HbO}_2}(\lambda_i) \varepsilon_{\text{Hb}}(\lambda_i) \right)^2},$$

and where OL is the oxygenation level of the tumor;  $i$  is a wavelength index for the two wavelengths;  $\varepsilon_{\text{HbO}_2}$  and  $\varepsilon_{\text{Hb}}$  are the molar extinction coefficients of oxy-hemoglobin and deoxy-hemoglobin, respectively;  $\Delta[\text{HbO}_2]^*$  and  $\Delta[\text{Hb}]^*$  are relative values for the spatial changes in the concentrations of oxy-hemoglobin and deoxy-hemoglobin, respectively;

$N''$  is a spatial second derivative of an intensity of transmitted light.

5           These methods can include one or more of the following features. The sample may be illuminated with a plurality of wavelengths of light, and the light transmitted through the sample may be detected at a plurality of locations. An image of oxygenation levels at the plurality of locations within the tissue sample may be displayed. Values of oxygenation levels at the plurality of locations within the tissue sample may be stored in  
10 a computer-readable medium.

          In another aspect, the invention features methods for diagnosing cancer in a sample by obtaining thicknesses of the sample and intensities of light transmitted through the sample at two or more of locations; calculating data points for the locations using spatial second derivatives of products of the thickness of the sample and the intensities of  
15 the transmitted light; comparing the data points to detect a region of the sample having an absorption level different from the background level of absorption within the sample; selecting a pair of wavelengths of light to minimize the difference between relative changes in intensity of the light transmitted through the region of the sample, where the relative changes in intensity of the light are measured relative to the background intensity  
20 of light transmitted through the sample; obtaining measures of background reduced scattering coefficients of the sample at the pair of wavelengths; calculating an absolute oxygenation level of the region of the sample using the relative changes in intensity of the light at the pair of wavelengths and the measures of the background reduced scattering coefficients of the sample at the pair of wavelengths; and diagnosing whether  
25 the region of the sample contains cancer based on the absolute oxygenation level of the region.

          Unless otherwise defined, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Although methods and materials similar or equivalent to those  
30 described herein can be used in the practice or testing of the present invention, suitable methods and materials are described below. All publications, patent applications, patents, and other references mentioned herein are incorporated by reference in their entirety. In case of conflict, the present specification, including definitions, will control.

5 In addition, the materials, methods, and examples are illustrative only and not intended to be limiting.

The details of one or more embodiments of the invention are set forth in the accompanying drawings and the description below. Other features, objects, and advantages of the invention will be apparent from the description and drawings, and from  
10 the claims.

### DESCRIPTION OF DRAWINGS

FIG. 1 is a schematic diagram of a system of the present invention.

FIG. 2 is a prototype of the new system used to obtain optical data for second-derivative images.

15 FIG. 3 is a prior art image of the left breast, craniocaudal projection, for a 58-year old patient affected by invasive ductal carcinoma

FIG. 4 is a line graph derived from the data shown in FIG. 3 at  $y = 3.2$  cm.

FIG. 5 is second-derivative image of the data generated by the new methods.

FIG. 6 is a line graph derived from the data shown in FIG. 5 at  $y = 3.2$  cm.

20 FIG. 7 is a schematic diagram of a breast slightly compressed between two parallel plates.

FIG. 8 is a schematic diagram of an optical arrangement for evaluating optical properties in test samples.

FIG. 9 is a diagram of an apparatus for measuring optical signals in test samples.

25 FIG. 10 is a table of the results of the measurements of the optical properties of inclusions and background medium in a test sample.

FIG. 11 is a graph of optical intensity ( $I$ ) measured during a linear scan across a test sample.

FIG. 12 is a graph of experimental results for  $-\Delta I / I_0|_{\max}$  as a function of the product  $\mu_{s0}' \Delta \mu_n$  for irregularly shaped inclusions in a test sample.  
30

FIG. 13 is a graph of experimental results for  $-\Delta I / I_0|_{\max}$  as a function of the product  $\mu_{s0}' \Delta \mu_n$  for a cylindrical inclusion in a test sample.

FIG. 14 is the calculated dependence of  $-\Delta I / I_0|_{\max}$  on the product  $\mu_{s0}'\Delta\mu_n$  for a spherical object embedded in a uniform turbid medium.

FIG. 15 is a graph showing the dependence of  $\Delta I / I_0|_{\max}$  on  $\mu_{s0}$  for a test sample.

FIG. 16 is a spectrum of the product  $\mu_{s0}'\Delta\mu_n$  for  $\text{SO}_2$  values of 0, 20, 40, 60, 80, and 100%.

FIG. 17 is graph obtained using prior art perturbation analysis for a number of wavelength pairs for a 1.4 cm-diameter sphere (on the mid-line between source and detector) having a range of oxygenation levels.

FIG. 18 is graph obtained using prior art perturbation analysis for a number of wavelength pairs for a 3.0 cm-diameter sphere (on the mid-line between source and detector) having a range of oxygenation levels.

FIG. 19 is graph obtained using prior art perturbation analysis for a number of wavelength pairs for a 1.4 cm diameter sphere (off the mid-line by 1.5 cm) having a range of oxygenation levels.

FIG. 20 is a graph obtained using the new methods for a selected wavelength pair for a 1.4 cm-diameter sphere (on the mid-line between source and detector) having a range of oxygenation levels.

FIG. 21 is a graph obtained using the new methods for a selected wavelength pair for a 3.0 cm-diameter sphere (on the mid-line between source and detector) having a range of oxygenation levels.

FIG. 22 is a graph obtained using the new methods for a selected wavelength pair for a 1.4 cm diameter sphere (off the mid-line by 1.5 cm) having a range of oxygenation levels.

FIG. 23 is a graph of  $\text{SO}_2^{(0)}$  versus  $\Delta\mu_n(\lambda_1)$  for  $\text{SO}_2$  values of 0, 20, 40, 60, 70, 80, and 100%.

FIGs 24a1 to 24c2 are a series of images of a ductal carcinoma in a left breast.

FIGs. 25a to 25c are a series of images of a benign mastopathy in a left breast.

FIGs. 26a to 26c are a series of images of benign inflammation in a breast.

5 Like reference symbols in the various drawings indicate like elements.

### DETAILED DESCRIPTION

The invention features optical systems and methods for imaging based on oxygenation levels and quantifying oxygenation levels within a region of interest. These systems and methods are useful to assess differences in oxygenation levels within  
10 mammalian, human, e.g., tissue and have particular application to identifying cancerous tumors in breast tissue *in vivo*. The imaging techniques provide enhanced contrast by mapping the second derivative of the optically acquired data. Measurement of absolute oxygenation levels involves selection of an appropriate pair of wavelengths to minimize the difference between the relative change in the intensity of the light detected at those  
15 wavelengths.

#### Optical Systems

An optical system for scanning a human breast according to the present invention is shown in FIG. 1. Two glass plates 10 are used to slightly compress the breast. Two  
20 distance sensors 20 and 30 positioned next to the illumination 40 and collection fibers 50 measure the free space between the compression plates and the breast. The difference between the plate separation and this free space yields the breast thickness at each pixel. The distance between the two plates is adjustable to fit different breast sizes, and it is possible to rotate the whole assembly to allow for the acquisition of breast images in the  
25 craniocaudal, oblique, and mediolateral projections. The output of the distance sensors (or, as an alternative, the optical signal detected by the scanning collection fiber 50) is also used to detect the proximity to the breast edge. By driving the scanner to the next line using the driving circuit 60 when the detected breast thickness becomes less than 1 cm (or when the optical transillumination signal through the breast exceeds a given  
30 threshold), the system only scans the area actually occupied by the breast.

The light source can be a Xe arc lamp 70 (ORIEL Instruments, Model No. 6258), and the light is passed through a band-pass filter 80 to emit over the spectral range 680-880 nm. This continuous wave (CW) light source provides a complete range for

5 selection of optimal wavelengths. The lamp 80 is coupled through a F/1.0 condenser and a converging lens (collectively shown as 90) to either or both of the 3-5 mm diameter glass fiber bundles 40 and 100. A fiber bundle 100, which is a fixed illumination fiber, can be used for background spectroscopy. The collection fiber 50, a fiber bundle with an internal diameter of 3-5 mm and a numerical aperture of 0.22, delivers the light to the  
10 entrance slit of a 15 cm-f/4 spectrograph 110 (Roper Scientific, VersArray: 5 12B) for the parallel measurement of the whole spectrum at each pixel. The CCD camera chip 120 is appropriately binned to achieve the best compromise between signal-to-noise ratio and spectral resolution. The spectral data for the whole image is stored in a computer 130 and is post-processed. A display 140 displays an image of the post-processed data.

### 15 Second-Derivative Imaging

Processing optical data from breast tissue using spatial second derivatives further enhances the detection of areas of higher light absorption in the breast. Light absorption is a function of concentration and oxygenation levels. The second-derivative images at  
20 multiple wavelengths can be used in spectral analysis, which improves the specificity of optical mammography by discriminating between benign and malignant breast lesions.

Optical data for second-derivative images were acquired using a prototype designed and built by Siemens Medical Engineering (Erlangen, Germany) shown in FIG. 2. Other devices can be used to acquire images. This prototype did not feature a CW  
25 light source. Instead, four laser diodes (690, 750, 788, and 856 nm) modulated at a frequency of ~70 MHz were used as optical sources. The light from these diodes was fed into optical fibers, which were joined to a fiber coupler. The source and detector fibers are located on opposite sides of the breast, which was slightly compressed between two parallel glass plates. The light received from the detector fibers passed through a  
30 photomultiplier tube and analyzer to a computer. Amplitude and phase images were obtained by scanning the source and detector fibers in tandem. Two projections of each breast were typically acquired, craniocaudal and oblique. The raw data was corrected for edge effects arising from the variable thickness of the breast between the two plates. The

edge-corrected data yield was used to create images based on an  $N$ -parameter, defined as  $N(x,y) = r_0 ac_0 / r(x,y) ac(x,y)$ , where  $r_0$  is the maximum thickness of the sample,  $ac_0$  is the AC amplitude at a pixel where the breast thickness is  $r_0$ ,  $ac(x,y)$  is the amplitude measured at pixel  $(x,y)$ , and  $r(x,y)$  is the breast thickness at that pixel derived from the phase information, see S. Fantini et al., Med. Phys. 23:149-57, 1996. A representative  $N$ -image of the left breast, craniocaudal projection, for a 58-year old patient affected by invasive ductal carcinoma is reported in FIG. 3. A line graph of  $N$  at  $y = 3.2$  cm is shown in FIG. 4.

FIG. 5 is an image obtained by taking the second derivative ( $N''$ ). This image was generated by first smoothing the  $N$ -image and then taking the sum of the second derivatives at each pixel  $(i,j)$  calculated in the vertical ( $y$ ), horizontal ( $x$ ), and two ( $x+y$  and  $x-y$ ) diagonal directions, which are defined as follows:

$$N''_{i,j}(x) = \frac{N_{i+1,j} - 2N_{i,j} + N_{i-1,j}}{(\Delta_i)^2},$$

$$N''_{i,j}(y) = \frac{N_{i,j+1} - 2N_{i,j} + N_{i,j-1}}{(\Delta_j)^2},$$

$$N''_{i,j}(x+y) = \frac{N_{i+1,j+1} - 2N_{i,j} + N_{i-1,j-1}}{(\Delta_i)^2 + (\Delta_j)^2},$$

$$N''_{i,j}(x-y) = \frac{N_{i+1,j-1} - 2N_{i,j} + N_{i-1,j+1}}{(\Delta_i)^2 + (\Delta_j)^2},$$

where  $i$  and  $j$  are the pixel indices in the  $x$  and  $y$  directions, respectively, while  $\Delta_i$  and  $\Delta_j$  are the pixel size in the  $x$  and  $y$  directions, respectively. Alternatively, instead of the sum one can take the maximum value of the second derivative.

FIG. 6 shows a line graph of  $N''$  at  $y = 3.2$  cm. In FIG. 5, areas with positive  $N''$  are set to white (by setting a threshold at  $N'' = 0$ ), areas with negative  $N''$  (corresponding to

regions of high optical absorption, and generally indicative of lesions) are displayed in gray-scale, and the region external to the breast is set to black. This image has enhanced contrast and identifies those areas in the image characterized by a local maximum. As FIG. 5 demonstrates, the secondary peak at  $x = 9$  cm is now greatly enhanced, and an even smaller feature around  $x = 6$  cm is now visible.

#### Measurement of Oxygenation Levels

To a first approximation, a localized optical perturbation embedded in a turbid medium can be modeled using the first-order perturbative solution to the diffusion equation. First-order perturbation applies to small optical perturbations, where "small" means that the linear dimensions of the perturbation are much smaller than the distance  $r$  between the illumination and the collection points that induce a small (with respect to 1) relative change in the optical signal. This model is schematically illustrated in FIG. 7 for a lesion embedded in a transilluminated human breast, where the lesion is located at a distance  $r_0$  from a glass plate on the detector side and at a distance  $r-r_0$  from a glass plate on the source side of the breast model.

The absorption and reduced scattering coefficients of the background medium (healthy breast tissue) are denoted with  $\mu_{a0}$  and  $\mu_{s0}'$ , respectively, and the absorption and scattering perturbations (associated with the tumor) with  $\Delta\mu_a$  and  $\Delta\mu_s'$ , respectively. This means that the absorption and reduced scattering coefficients at the location of the optical perturbation (tumor) are given by  $\mu_{a0} + \Delta\mu_a$  and  $\mu_{s0}' + \Delta\mu_s'$ , respectively. The relative intensity change  $\Delta I/I_0$  (where  $I_0$  is the unperturbed intensity measured in the background medium) induced by the optical perturbation is maximized (in absolute value) when the source, detector, and the perturbation are collinear as shown in FIG. 7. The first-order perturbative solution to the diffusion equation leads to the following expression for this maximal intensity change, see Boas et al., Appl. Opt. 36:75-92, 1997, which is incorporated by reference herein:



$$\left. \frac{\Delta I^{(\text{pert})}}{I_0} \right|_{\text{max}} = - \left( \frac{3\mu'_{s0}}{4\pi} \right) \frac{rV}{r_0(r-r_0)} \left[ \Delta\mu_a + \frac{\Delta\mu'_s}{3\mu_{s0}^2} \left( \sqrt{3\mu_{a0}\mu'_{s0}} + \frac{1}{r_0} \right) \left( \sqrt{3\mu_{a0}\mu'_{s0}} + \frac{1}{(r-r_0)} \right) \right] \quad (1)$$

$V$  is the volume of the lesion, and the geometrical parameters  $r$  and  $r_0$  are defined in FIG. 7. The second term inside the square bracket in Eq. (1), the scattering perturbation term, is neglected on the basis of *in vivo* studies that have shown that the scattering tumor-to-background contrast is much smaller than the absorption contrast, see, e.g., Fantini et al., App. Opt. 37:1982-89, 1998, which is incorporated herein by reference. Therefore, Eq. (1) reduces to:

$$\left. \frac{\Delta I^{(\text{pert})}}{I_0} \right|_{\text{max}} = - \left( \frac{3rV}{4\pi r_0(r-r_0)} \right) (\mu'_{s0} \Delta\mu_a) \quad (2)$$

As shown by Eq. (2), first-order perturbation theory predicts that the maximal intensity effect of an optical inclusion (for the case  $\Delta\mu'_s = 0$ ) is given by the product of a wavelength-independent geometric factor and a wavelength-dependent factor given by  $\mu_{s0}' \Delta\mu_a$ .

In the new oximetry techniques, Eq. (2) is generalized to predict the functional dependence of  $\Delta I / I_0|_{\text{max}}$  on  $\mu_{a0}$ ,  $\mu_{s0}'$  and  $\Delta\mu_a$  beyond the limits of validity of the first-order perturbation analysis. Specifically, in the case  $\Delta\mu'_s = 0$ , Eq. (2) is generalized to the case of spatially extended objects that induce significant changes in the optical intensity. This is accomplished by expressing  $\Delta I / I_0|_{\text{max}}$  only as a function of the product  $\mu_{s0}' \Delta\mu_a$  and wavelength-independent parameters that are related to the source-detector separation ( $r$ ), and to the object-detector distance ( $r_0$ ), size ( $V$ ), and shape ( $s$ ) of the object. Therefore,  $\Delta I / I_0|_{\text{max}}$  can be expressed as:

$$\left. \frac{\Delta I}{I_0} \right|_{\text{max}} = f(r, r_0, V, s, [\mu'_{s0} \Delta\mu_a])$$

(3)

5 where  $f$  indicates an unknown function of its arguments.

As in the perturbation case,  $\Delta I / I_0|_{\max}$  is a monotonic function of the product  $\mu_{s0}' \Delta \mu_n$ . The wavelength dependence of  $\Delta I / I_0|_{\max}$  only appears implicitly in the argument  $\mu_{s0}' \Delta \mu_n$ , because the geometrical parameters  $r$ ,  $r_0$ ,  $V$ , and  $s$  are independent of the wavelength. Consequently, because of the monotonicity of  $\Delta I / I_0|_{\max}$  on  $\mu_{s0}' \Delta \mu_n$ , if

10 two wavelengths  $\lambda_1$  and  $\lambda_2$  are selected such that  $\Delta I / I_0|_{\max}^{(\lambda_1)} = \Delta I / I_0|_{\max}^{(\lambda_2)}$ , then  $\mu_{s0}'(\lambda_1) \Delta \mu_n(\lambda_1) = \mu_{s0}'(\lambda_2) \Delta \mu_n(\lambda_2)$ . Therefore, the ratio of the absorption perturbations at these two wavelengths is given by the inverse of the ratio of the background reduced scattering coefficients at the same two wavelengths:

$$15 \quad \frac{\Delta \mu_n(\lambda_2)}{\Delta \mu_n(\lambda_1)} = \frac{\mu_{s0}'(\lambda_1)}{\mu_{s0}'(\lambda_2)}.$$

(4)

This analysis indicates that by appropriately choosing the two wavelengths  $\lambda_1$  and  $\lambda_2$ , one can translate a measurement of the background scattering ratio into a measurement of the absorption-perturbation ratio associated with the embedded  
20 inclusion. This result is relevant for the near-infrared determination of the oxygen saturation of hemoglobin in breast lesions because (1) the near-infrared spectral properties of hemoglobin and breast tissue are such that it is usually possible to identify two wavelengths at which the tumor-induced intensity perturbations are similar, and because (2) the oxygen saturation of hemoglobin is only a function of the ratio of the  
25 optical absorption at two wavelengths, see Fantini et al., Opt. Eng., 34:32-42, 1995. If the absorption at the tumor location is given by the sum of the contributions from the background ( $\mu_{n0}$ ) and from the tumor ( $\mu_n^{(t)}$ ), then  $\Delta \mu_n$  can be identified with the tumor absorption ( $\mu_n^{(t)} = \Delta \mu_n$ ). If, instead, the absorption at the tumor location is only due to the tumor, then  $\mu_n^{(t)} = \mu_{n0} + \Delta \mu_n$ . However, if  $\Delta \mu_n \gg \mu_{n0}$  (high-contrast tumor), it can still be  
30 maintained that  $\Delta \mu_n$  is representative of the tumor absorption ( $\mu_n^{(t)} \approx \Delta \mu_n$ ).

Here, the oxygen saturation of hemoglobin ( $SO_2$ ) associated with the additional absorption  $\Delta\mu_a$  is considered. At least in the two cases mentioned above,  $SO_2$  is representative of the tumor oxygenation. By assuming that  $\Delta\mu_a$  is solely due to hemoglobin, the expression for  $SO_2$  in terms of  $\Delta\mu_a(\lambda_1)$  and  $\Delta\mu_a(\lambda_2)$  becomes the following:

$$SO_2 = \frac{\epsilon_{Hb}(\lambda_2) - \epsilon_{Hb}(\lambda_1) \Delta\mu_a(\lambda_2) / \Delta\mu_a(\lambda_1)}{[\epsilon_{Hb}(\lambda_2) - \epsilon_{HbO_2}(\lambda_2)] + [\epsilon_{HbO_2}(\lambda_1) - \epsilon_{Hb}(\lambda_1)] \Delta\mu_a(\lambda_2) / \Delta\mu_a(\lambda_1)},$$

(5)

where  $\epsilon_{Hb}$  and  $\epsilon_{HbO_2}$  are the molar extinction coefficients of deoxy-hemoglobin and oxy-hemoglobin, respectively.

In practice, it may not be possible to identify two wavelengths  $\lambda_1$  and  $\lambda_2$  that exactly satisfy the requirement that  $\Delta I / I_0|_{\max}^{(\lambda_1)} = \Delta I / I_0|_{\max}^{(\lambda_2)}$ . In this case, the optimal pair of wavelengths  $(\lambda_1, \lambda_2)$  minimizes the absolute value of the difference  $\Delta I / I_0|_{\max}^{(\lambda_1)} - \Delta I / I_0|_{\max}^{(\lambda_2)}$ . To correct, at least in part, for a difference between  $\Delta I / I_0|_{\max}^{(\lambda_1)}$  and  $\Delta I / I_0|_{\max}^{(\lambda_2)}$ , the dependence  $\Delta I / I_0|_{\max}^{(\lambda)} \propto \mu'_{s0}(\lambda) \Delta\mu_a(\lambda)$  given by Eq. (2) is used to write:

$$\frac{\Delta\mu_a(\lambda_2)}{\Delta\mu_a(\lambda_1)} = \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\max}^{(\lambda_2)}}{\Delta I / I_0|_{\max}^{(\lambda_1)}}.$$

(6)

5 By combining Eqs. (5) and (6), the lesion saturation is given by:

$$SO_2 = \frac{\varepsilon_{Hb}(\lambda_2) - \varepsilon_{Hb}(\lambda_1) \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\max}^{(\lambda_2)}}{\Delta I / I_0|_{\max}^{(\lambda_1)}}}{[\varepsilon_{Hb}(\lambda_2) - \varepsilon_{HbO_2}(\lambda_2)] + [\varepsilon_{HbO_2}(\lambda_1) - \varepsilon_{Hb}(\lambda_1)] \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\max}^{(\lambda_2)}}{\Delta I / I_0|_{\max}^{(\lambda_1)}}}, \quad (7)$$

10 which is the equation used to quantify the oxygenation level of, e.g., a tumor, from measurements of (1) the background (healthy tissue) reduced scattering coefficient  $[\mu'_{s0}(\lambda)]$ , and (2) the maximal relative change in the intensity caused by the tumor  $(\Delta I / I_0|_{\max}^{(\lambda)})$ .

This approach is not exclusively based on first order perturbation theory because the criterion to select the two wavelengths  $\lambda_1$  and  $\lambda_2$  refers to situations that are beyond  
15 the limits of applicability of first-order perturbation theory. As a result, even if  $\Delta I / I_0|_{\max}$  does not show a linear dependence on  $\mu'_{s0} \Delta \mu_a$  as predicted by first-order perturbation theory, these methods still provide accurate readings of oxygenation levels, provided that two wavelengths  $\lambda_1$  and  $\lambda_2$  can be identified such that

$$\Delta I / I_0|_{\max}^{(\lambda_1)} \approx \Delta I / I_0|_{\max}^{(\lambda_2)}.$$

20 In addition to seeking a pair of wavelengths that minimize the intensity change induced by the inclusion, it is preferable to use wavelengths that are not too close to one another. The sensitivity of the oxygenation measurement is degraded if the two near-infrared wavelengths are too close to each other, see Sevick et al., Anal. Biochem. 195:330-51, 1991. To avoid this degradation, the criterion that  $|\lambda_2 - \lambda_1| > x$  can be  
25 adopted to guarantee that the minimization of the absolute value of the difference

$\Delta I / I_0|_{\max}^{(\lambda_1)} - \Delta I / I_0|_{\max}^{(\lambda_2)}$  yields an appropriate pair of wavelengths. Possible values of  $x$  include, e.g., any distance between and including 40 and 60 nm.

5 This approach has the potential of being more robust in practical clinical measurements with respect to full reconstruction schemes. The only information used by the new method is (1) the spectrum of the background scattering coefficient,  $\mu_{s0}'(\lambda)$ , (2) the background intensity,  $I_0(\lambda)$ , and (3) the maximum intensity change  $(\Delta I)_{\max}$  caused by the tumor. To best apply this method, the spectral measurements should be conducted  
10 at a large number of wavelengths in the range of 680 to 880 nm, for example continuously over this spectral band.

The reduced scattering coefficient of the background medium as a function of wavelength,  $\mu_{s0}'(\lambda)$ , can be measured by averaging time-resolved measurements at several breast locations. Because of the featureless scattering spectrum, measurements at  
15 a few discrete wavelengths  $\lambda_i$  can be effectively extrapolated to yield a continuous spectrum of  $\mu_{s0}'(\lambda)$ , as demonstrated by Bevilacqua et al., Appl. Opt. 39:6498-507, 2000. With respect to the measurement of the background intensity  $I_0(\lambda)$  in the presence of a heterogeneous background such as breast tissue, it can be appropriate to consider an average background intensity over a specifically selected breast area rather than the  
20 intensity measured at a particular breast location.

It is also possible to use the transmitted light images to provide information concerning the relative oxygenation level of the detected optical inhomogeneities. Multiple second-derivative images taken at different wavelengths may be used to calculate a relative oxygenation index. In particular, pseudo variations in the  
25 concentrations of oxy-hemoglobin ( $\Delta[\text{HbO}_2]^*$ ) and deoxy-hemoglobin ( $\Delta[\text{Hb}]^*$ ) may be determined and then combined to calculate a relative oxygenation index according to the following equations:

$$\Delta[\text{HbO}_2]^* = \frac{\left( \sum_i N''(\lambda_i) \epsilon_{\text{HbO}_2}(\lambda_i) \right) \left( \sum_i \epsilon_{\text{Hb}}^2(\lambda_i) \right) - \left( \sum_i N''(\lambda_i) \epsilon_{\text{Hb}}(\lambda_i) \right) \left( \sum_i \epsilon_{\text{HbO}_2}(\lambda_i) \epsilon_{\text{Hb}}(\lambda_i) \right)}{\left( \sum_i \epsilon_{\text{HbO}_2}^2(\lambda_i) \right) \left( \sum_i \epsilon_{\text{Hb}}^2(\lambda_i) \right) - \left( \sum_i \epsilon_{\text{HbO}_2}(\lambda_i) \epsilon_{\text{Hb}}(\lambda_i) \right)^2} \quad (8)$$

$$\Delta[\text{Hb}]^* = \frac{\left( \sum_i N''(\lambda_i) \epsilon_{\text{Hb}}(\lambda_i) \right) \left( \sum_i \epsilon_{\text{HbO}_2}^2(\lambda_i) \right) - \left( \sum_i N''(\lambda_i) \epsilon_{\text{HbO}_2}(\lambda_i) \right) \left( \sum_i \epsilon_{\text{HbO}_2}(\lambda_i) \epsilon_{\text{Hb}}(\lambda_i) \right)}{\left( \sum_i \epsilon_{\text{HbO}_2}^2(\lambda_i) \right) \left( \sum_i \epsilon_{\text{Hb}}^2(\lambda_i) \right) - \left( \sum_i \epsilon_{\text{HbO}_2}(\lambda_i) \epsilon_{\text{Hb}}(\lambda_i) \right)^2} \quad (9)$$

$$\text{Oxygenation Index} = \frac{\Delta[\text{HbO}_2]^*}{\Delta[\text{HbO}_2]^* + \Delta[\text{Hb}]^*} \quad (10)$$

Here,  $i$  is the wavelength index, and  $\epsilon_{\text{HbO}_2}$  and  $\epsilon_{\text{Hb}}$  are the molar extinction coefficients of oxy-hemoglobin and deoxy-hemoglobin, respectively. The stars in  $\Delta[\text{HbO}_2]^*$  and  $\Delta[\text{Hb}]^*$  refer to indicative (or pseudo) values for the spatial changes in the concentrations of oxy-hemoglobin and deoxy-hemoglobin associated with the spatial changes in the optical absorption coefficient  $\Delta\mu_a$ . The actual spatial changes  $\Delta[\text{HbO}_2]$  and  $\Delta[\text{Hb}]$  would be obtained by replacing  $N''(\lambda_i)$  with  $\Delta\mu_a(\lambda_i)$  in Eqs. (8) and (9). At least in the first-order perturbation limit, it can be shown that there is a direct proportionality between  $N''$  and  $\Delta\mu_a$ , which is the basis for using  $N''$  in Eqs. (8) and (9). However, the proportionality between  $N''$  and  $\Delta\mu_a$  does not hold for the strong perturbation on the optical data caused by relatively large breast tumors. For this reason, stars in  $\Delta[\text{HbO}_2]^*$  and  $\Delta[\text{Hb}]^*$  are used, the ratio of Eq. (10) may be known as a relative oxygenation index rather than oxygen saturation of hemoglobin.

5

Diagnosing Cancer

The imaging and absolute oxygenation level quantification techniques described herein can be used in various combinations to diagnose the presence of cancer, for example, in human breasts. For example, one can use any one or two of the  $N$ ,  $N''$ , or oxygenation level images, or one can use all three images of a given tissue sample. Combining these techniques, and comparing their results, affords enhanced images supported by quantitative measurements that improve diagnostic potential.

Optical mammography of human breasts is performed using a system such as that shown in FIG. 2. Two projections of each breast are typically acquired, craniocaudal and oblique, by illuminating the breasts with multiple wavelengths, preferably with at least some of the wavelengths in the range of 680 to 880 nm. Amplitude and phase images are obtained by scanning the source and detector fibers in tandem, and the raw data was corrected for edge effects arising from the variable thickness of the breast between the two plates. Second-derivative images such as FIG. 5 are generated for each breast. These images display differences in light absorption with enhanced contrast, and inclusions with high absorption, such as lesions, can be identified. For example, in FIG. 5, the feature located at  $x = 12$  cm is a lesion that represents a region of interest.

The oxygenation levels in the identified regions of interest are quantitatively assessed. To perform the quantitative assessment, a pair of wavelengths is selected to minimize the intensity change induced by the region of interest. Since it is preferable to use wavelengths that are not too close to one another, a boundary condition of, e.g., 40 nm of separation in the two wavelengths is applied. Using these two wavelengths, Eq. (7) is applied to compute the absolute oxygenation level within the region of interest. This absolute oxygenation level improves the ability to distinguish between malignant and benign inclusions. Because measurements of the partial pressure of oxygen in tumors have shown that hypoxic or anoxic conditions often exist in malignant tumors, but not in benign lesions, a low oxygenation level of the region of interest is an indicator of its malignancy. Differences of 5% to 15% in oxygenation levels between normal and

5 malignant tissue have been observed, see, M.J. Holboke et al., J. of Biomed. Opt. 5, 237-247, 2000; Tromberg et al., Neoplasia 2, 26-40, 2000; Tromberg et al., Philos. Trans. R. Soc. London 352, 661-668, 1997.

The invention is further described in the following examples, which do not limit the scope of the invention described in the claims.

## 10 EXAMPLES

### Test Samples and Apparatus

Inclusions were comprised of a mixture of General Electric silicones, model No. RTV615 (clear) and model No. RTV11 (white). The white silicone was used as the scattering material and black India ink was used as the absorber. Two different mixtures  
15 were prepared with different optical properties, (the first with  $\mu_a \sim 0.05\text{--}0.06\text{ cm}^{-1}$  and  $\mu_s' \sim 9\text{--}10\text{ cm}^{-1}$  and the second with  $\mu_a \sim 0.11\text{--}0.15\text{ cm}^{-1}$  and  $\mu_s' \sim 9\text{--}10\text{ cm}^{-1}$  over the wavelength range of 752-840 nm considered) to cover a range of  $\Delta\mu_a$  that is representative of the expected absorption contrast of breast lesions *in vivo*.

The optical characterization of the inclusions was performed using the  
20 arrangement shown in FIG. 8. The silicone mixtures were formed into two cylindrically shaped blocks (one to characterize each mixture) of 11 cm diameter and 9 cm height. A frequency-domain, near-infrared spectrometer (OxiplexTS, ISS, Inc., Champaign, IL) housed and controlled the laser sources and optical detectors used to obtain the measurements. The sources were laser diodes at six discrete wavelengths (752, 778, 786,  
25 813, 830, and 840 nm) and the optical detector was a photomultiplier tube (Hamamatsu Photonics R928). The sources were intensity-modulated at a frequency of 110 MHz, electronically multiplexed at a rate of about 10 Hz to time-share the detector, and were coupled to 400  $\mu\text{m}$  core-diameter optical fibers that were collected into a fiber bundle with rectangular cross-section 1.2 mm in width and 3 mm in length. The detector was  
30 coupled to another fiber bundle of circular cross-section, 3 mm internal diameter. The detector fiber bundle remained fixed and was in contact with the surface of the silicone blocks, while the end of the source bundle was brought close to the surface of the blocks



( $< 0.25$  mm away). The starting distance between the source and detector fibers was 1 cm (x-direction). The source fiber was then moved in the negative x-direction defined in FIG. 8 (away from the detector fiber) at a rate of 0.65 mm/s. Data was acquired at every 0.5 s providing a measurement every 325  $\mu\text{m}$  over a total traveled distance of 2 cm. The amplitude (AC), average value (DC), and phase of the detected modulated intensity were recorded and translated into measurements of the reduced scattering and absorption coefficients by employing a multi-distance method based on the diffusion equation and semi-infinite boundary conditions, see Fantini et al., J. Opt. Soc. Am. **11**:2128, 1994, which is incorporated herein by reference.

The background medium was comprised of a mixture of 1 liter of Liposyn 10% (Abbott Laboratories, North Chicago, IL) and 8 liters of deionized water and was contained in a rectangular vessel with dimensions of 27 cm width, 32 cm length, and 13 cm height. Again, the ISS frequency-domain spectrometer was used to perform the measurements. The experimental arrangement was similar to that used in FIG. 8 except the ends of the source and fiber bundles were fully immersed in the Liposyn mixture to simulate an infinite medium. Acquisition times, laser multiplexing rate and source-displacement speed (with the detector remaining fixed) were also the same as in the inclusion characterization. The AC, DC and phase data were used in the diffusion model for light propagation in an infinite, highly scattering medium to determine the background reduced scattering ( $\mu_{s0}'$ ) and absorption coefficients ( $\mu_{a0}$ ). Measurements of  $\mu_{a0}$  over the wavelengths used ranged from 0.02  $\text{cm}^{-1}$  to 0.04  $\text{cm}^{-1}$  and those of  $\mu_{s0}'$  ranged from 10  $\text{cm}^{-1}$  to 12  $\text{cm}^{-1}$  and were chosen to match typical absorption and scattering coefficients in breast tissue for this wavelength range [ $\mu_{a0}(\text{breast}) \sim 0.03 \text{ cm}^{-1}$  and  $\mu_{s0}'(\text{breast}) \sim 12 \text{ cm}^{-1}$ ], see Heusmann et al., J. Biomed Opt. **1**:425-34, 1996; Heusman et al., Proc. SPIE **2389**:788-808, 1995; Kölzer et al., Proc. SPIE **2326**:143-52, 1995.

The experimental procedure to investigate the dependence of  $\Delta I/I_0|_{\text{max}}$  on  $\mu_{s0}'\Delta\mu_s$  was based on the arrangement shown in FIG. 9. The laser diodes 10 were employed at the same six wavelengths used previously to determine the optical properties of the inclusion material and the background (752, 778, 786, 813, 830, and 840 nm). In

5 this arrangement, the source fiber bundle 20 and detector fiber bundle 30 were arranged collinearly and their ends were fully immersed in the formerly characterized background medium to simulate an infinite geometry. The detector fiber bundle 30 fed the optical signals into the analyzer 40. The source-detector separation for these experiments remained a constant 6 cm, which is representative of the thickness of a slightly  
10 compressed breast. Inclusions of different sizes and shapes were then suspended in the medium equidistant from the source and detector using Pasteur pipettes to hold them in place. The pipettes were filled with background medium to reduce the optical perturbation they might cause.

The inclusion material was formed into irregular shapes (by cutting cylindrical  
15 shapes with razor blades) and into a 10 cm-long cylinder using the two different mixtures, thus creating two different sets of the same sizes and shapes. The cylinder had a diameter of 1.0 cm, while the irregular shapes were created to have the same volumes as spheres with 0.9 cm diameter ( $V = 0.43 \text{ cm}^3$ ) and 1.4 cm diameter ( $V = 1.31 \text{ cm}^3$ ), respectively. The source and detector optical fibers were scanned together over a 14 cm  
20 distance in the x-direction (as shown in FIG. 9) beginning at a distance of approximately 7 cm from the center of the inclusion in the x-direction. Scanning was performed at a rate of 0.65 mm/s and data was acquired every 0.88 s, providing a data point every 572  $\mu\text{m}$ .

## 25 Methods

Estimates of the optical signals were calculated using an analytical solution to the diffusion equation for a spherical inclusion embedded in an infinite turbid medium, see  
Boas et al., Proc. Natl. Acad. Sci. 51:4887-91, 1994. This solution was implemented in a PMI (photon migration imaging) software package developed by D. A. Boas *et al.* at  
30 Massachusetts General Hospital, Charlestown, MA, as part of publicly available software, <http://www.nmr.mgh.harvard.edu/DOT/toolbox.htm>. Two types of theoretical analysis were performed.

5 The first analysis was aimed at determining whether the dependence of  $\Delta I / I_0|_{\text{max}}$  on  $\mu_{a0}$ ,  $\mu_{s0}'$ , and  $\Delta\mu_a$  is well approximated by a function of the product  $\mu_{s0}'\Delta\mu_a$  even outside of the perturbation regime, as in the cases of spheres having a diameter that is not much smaller than the source-detector separation and for values of  $\Delta\mu_a$  that are not much smaller than  $\mu_{a0}$ . This assessed whether  $\Delta I / I_0|_{\text{max}}$ , in the case where  $\Delta\mu_s' = 0$

10 here, was independent of  $\mu_{a0}$  and was not affected by  $\mu_{s0}'$  and  $\Delta\mu_a$  separately, but only by their product  $\mu_{s0}'\Delta\mu_a$ . This analysis was carried out for two sphere diameters (1.4 and 3.0 cm) in the case where the sphere is equidistant from the source and detector scanning lines (sphere center is 3.0 cm from either fiber in the collinear case). For the smaller sphere (1.4 cm in diameter), a 1.5-cm off-center position was also analyzed, where the

15 distances from the sphere center to the source and detector fibers were 1.5 and 4.5 cm respectively, in the collinear condition.

The second analysis was aimed at testing the new methods for measuring tumor oxygenation. In this analysis, the background absorption and scattering spectra,  $\mu_{a0}(\lambda)$  and  $\mu_{s0}'(\lambda)$  respectively, were set equal to typical absorption and reduced scattering

20 spectra for breast tissue. The absorption spectra were determined by combining the absorption contributions from typical concentrations of oxy-hemoglobin (~4.4  $\mu\text{M}$ ), deoxy-hemoglobin (~2.7  $\mu\text{M}$ ), water (~39.5% v/v), and lipids (~60.5% v/v) in healthy breast tissue, as reported by Quaresima et al., Photochem. Photobiol. 67:4-14, 1998. In the spectral region considered (680-880 nm),  $\mu_{a0}$  ranged from 0.023  $\text{cm}^{-1}$  (at 680 nm) to

25 0.076  $\text{cm}^{-1}$  (at 880 nm). The background scattering spectrum was estimated from data reported by Cubeddu et al., Appl. Phys. Lett. 74:874-76, 1999, for two healthy human subjects. In the spectral region considered (680-880 nm),  $\mu_{s0}'$  ranged from 10.0  $\text{cm}^{-1}$  (at 680 nm) to 7.8  $\text{cm}^{-1}$  (at 880 nm).

In addition, spherical lesions with no scattering contrast ( $\Delta\mu_s' = 0$ ) were also

30 considered with an absorption contrast provided by a hemoglobin concentration of 60  $\mu\text{M}$  (corresponding to  $\Delta\mu_a = 0.12 \text{ cm}^{-1}$  at 800 nm). As in the first analysis, two sphere diameters (1.4 and 3.0 cm) in the centered case were analyzed (sphere half-way between

the source and detector scanning lines), along with one sphere diameter (1.4 cm) in the off-center case (sphere 1.5 cm off-center). The maximum relative change in the optical signal induced by the spherical lesion ( $\Delta I / I_0|_{\max}$ ) was calculated at nine wavelengths (680, 720, 730, 758, 768, 776, 800, 840, and 880 nm) as a function of hemoglobin saturation values within the sphere over the range 0-100%. This choice of wavelengths covered the 680-880 nm diagnostic window and included the local maxima and minima in the deoxy-hemoglobin absorption spectrum (730 and 758 nm, respectively) and the local minimum in the oxy-hemoglobin absorption spectrum (680 nm).

### Testing of the Method

FIG. 10 shows a table of the results of the measurements of the optical properties of the inclusions and background medium. The range of  $\Delta\mu_a$  is from  $0.014 \text{ cm}^{-1}$  to  $0.12 \text{ cm}^{-1}$  when considering both silicone mixtures, while the range of  $\Delta\mu_s'$  is from  $-0.5$  to  $-2.8 \text{ cm}^{-1}$ . Given the background optical properties of the order of  $0.02 \text{ cm}^{-1}$  for  $\mu_{a0}$  and  $9 \text{ cm}^{-1}$  for  $\mu_{s0}'$ , the absorption perturbations are about 70-600% of the background absorption, while the scattering perturbations, in absolute value, do not exceed 31% of the background reduced scattering coefficient. These conditions are representative of the range of optical contrast offered by tumors in the human breast *in vivo*.

FIG. 11 shows a typical result of the optical intensity ( $I$ ) measured during a linear scan across the object location at  $x = 0$ . Because  $\Delta\mu_a > 0$ , there is a decrease in detected intensity as the source-detector pair approaches the inclusion during the scan. The background value ( $I_0$ ) and the maximum (in absolute value) intensity change  $|\Delta I|_{\max}$  are indicated in FIG. 11. The spectrum of  $(\Delta I)_{\max}/I_0(\lambda)$ , is then used to guide the choice of the two wavelengths  $\lambda_1$  and  $\lambda_2$  that are used to measure the oxygenation of the embedded object according to Eq. (7).

The experimental results for  $-\Delta I / I_0|_{\max}$  as a function of the product  $\mu_{s0}'\Delta\mu_a$  are reported in FIG. 12 for the irregularly shaped inclusions, and in FIG. 13 for the cylindrical inclusion. In both cases, the fact that the experimental data of  $\Delta I / I_0|_{\max}$  for

a range of values of  $\mu_{s0}'$  and  $\Delta\mu_a$  (see Table 1) are distributed along a single curve as a function of the product  $\mu_{s0}'\Delta\mu_a$  is indicative of the dependence of  $\Delta I / I_0|_{\max}$  on  $\mu_{s0}'\Delta\mu_a$ . In FIGs. 14 and 15, the functions of  $\mu_{s0}'\Delta\mu_a$  are represented by continuous lines. These experiments confirm that  $\Delta I / I_0|_{\max}$  is only a function of the product  $\mu_{s0}'\Delta\mu_a$  even for objects with a relatively large size, cylindrical or irregular shape, and relatively high absorption contrast. Furthermore,  $\Delta I / I_0|_{\max}$  varies monotonically with  $\mu_{s0}'\Delta\mu_a$ .

Figure 16 shows the calculated dependence of  $-\Delta I / I_0|_{\max}$  on the product  $\mu_{s0}'\Delta\mu_a$  for a spherical object embedded in a uniform turbid medium. As described above, two spheres (diameters of 1.4 and 3.0 cm) were examined for the case where the sphere was equidistant from the source and detector fibers, and one sphere (diameter of 1.4 cm) for the off-axis case where the sphere was 1.5 cm off the mid-line between source and detector. The absorption coefficient of the background medium ( $\mu_{a0}$ ) is  $0.06 \text{ cm}^{-1}$ , and the scattering perturbation ( $\Delta\mu_s'$ ) was set to zero. In all three cases considered,  $\Delta I / I_0|_{\max}$  was not separately dependent on  $\mu_{s0}'$  and  $\Delta\mu_a$ , but it only depended, monotonically, on their product (see FIG. 14). Furthermore, FIG. 15 shows that  $\Delta I / I_0|_{\max}$  was weakly dependent on  $\mu_{a0}$  over the range of optical properties of interest.

### Computation of Oxygenation Levels

The values of  $-\Delta I / I_0|_{\max}$  at nine wavelengths (680, 720, 730, 758, 768, 776, 800, 840, and 880 nm) were computed for a spherical inclusion in a case that mimics a realistic condition in optical mammography. As described above, the background scattering and absorption spectra were set equal to representative spectra for healthy breast tissue obtained from literature data, see R. Cubbedu et al., Appl. Phys. Lett. 74:874-76, 1999; Quaresima et al., Photochem. Photobiol. 67:4-14, 1998, which are incorporated by reference herein. Because of the results shown in FIG. 15, the background absorption spectrum plays a minor role in the determination of  $\Delta I / I_0|_{\max}$ .

5 The hemoglobin concentration of the embedded lesion was set to a value 60  $\mu\text{M}$  higher than that in the background, and the hemoglobin saturation of the sphere was varied over the range 0-100%.

The resulting spectra of the product  $\mu_{s0}'\Delta\mu_a$  for  $\text{SO}_2$  values of 0, 20, 40, 60, 80, and 100% are reported in FIG. 16. On the basis of Eq. (3), the spectra of FIG. 16 are  
 10 representative of the spectra of  $\Delta I / I_0|_{\text{max}}$ . The hemoglobin saturation in the different lesions were compared: (1) by using Eq. (5) and a ratio  $\Delta\mu_a(\lambda_2)/\Delta\mu_a(\lambda_1)$  computed with perturbation theory [Eq. (2)] from the intensity change  $\Delta I / I_0|_{\text{max}}$  measured at two fixed wavelengths; and (2) by using the new methods [Eq. (7)] at two wavelengths that are at least 40 nm apart and that minimize the absolute value of the difference  
 15  $\Delta I / I_0|_{\text{max}}(\lambda_2) - \Delta I / I_0|_{\text{max}}(\lambda_1)$ . Both approaches take the background reduced scattering coefficient as input, which was known in our theoretical computations, and which can be measured with time-resolved methods in a practical implementation to the human breast. The results of the perturbation analysis for a number of wavelength pairs are shown in FIG. 17 for a 1.4 cm-diameter sphere (on the mid-line between source and  
 20 detector), in FIG. 18 for a 3.0 cm-diameter sphere (on the mid-line between source and detector), and in FIG. 19 for a 1.4 cm diameter sphere off the mid-line by 1.5 cm. The results of the new methods are shown in FIG. 20 (sphere diameter: 1.4 cm; on the mid-line), FIG. 21 (sphere diameter: 3.0 cm; on the mid-line), and FIG. 22 (sphere diameter: 1.4 cm; 1.5 cm off the mid-line).

25 The improved fit obtained to the actual oxygenation levels using the new methods is evident by comparing FIGs. 22-24 to FIGs. 19-21. None of the lines obtained using prior art perturbation analysis for a pair of wavelengths achieved a comparable fit to lines obtained using the new methods with a selected pair of wavelengths. These results show that the new methods provided accurate measurements of the oxygenation of spherical  
 30 regions over the full range of oxygenation values and independent of the size and location of the sphere. The wavelength pairs that minimize the difference between  $\Delta I / I_0|_{\text{max}}^{(\lambda_1)}$

5 and  $\Delta I / I_0|_{\max}^{(\lambda_2)}$  (which is the criterion used to select the particular wavelength pairs in FIGS. 22, 23, and 24) for the cases of  $SO_2$  equal to 0, 20, 40, 60, 80, and 100% are indicated in FIG. 16. In FIG. 16, the wavelengths used are shown by the dashed lines, and the symbols identify the wavelength pairs that minimize the difference between  $\Delta I / I_0|_{\max}^{(\lambda_1)}$  and  $\Delta I / I_0|_{\max}^{(\lambda_2)}$  for each value of  $SO_2$ .

10

### Additional Computational Methods

The new techniques discussed above quantify the oxygen saturation associated with  $\Delta\mu_a$ , i.e., with the additional absorption at the tumor location with respect to the background tissue [see Eq. (5)]. These techniques are useful where (1) the absorption at the tumor location results from the sum of the background (healthy tissue) absorption ( $\mu_{a0}$ ) plus the tumor contribution ( $\mu_a^{(t)} = \Delta\mu_a$ ), or if (2) the tumor absorption  $\mu_a^{(t)}$  is equal to  $\mu_{a0} + \Delta\mu_a$  and  $\Delta\mu_a \gg \mu_{a0}$  (high-contrast tumor). In the case where  $\mu_a^{(t)} = \mu_{a0} + \Delta\mu_a$  but  $\Delta\mu_a$  is not much greater than  $\mu_{a0}$ , the tumor saturation  $SO_2^{(t)}$  is more appropriately given by:

$$20 \quad SO_2^{(t)} = \frac{\varepsilon_{Hb}(\lambda_2) - \varepsilon_{Hb}(\lambda_1) \frac{\mu_{a0}(\lambda_2) + R\Delta\mu_a(\lambda_1)}{\mu_{a0}(\lambda_1) + \Delta\mu_a(\lambda_1)}}{[\varepsilon_{Hb}(\lambda_2) - \varepsilon_{HbO_2}(\lambda_2)] + [\varepsilon_{HbO_2}(\lambda_1) - \varepsilon_{Hb}(\lambda_1)] \frac{\mu_{a0}(\lambda_2) + R\Delta\mu_a(\lambda_1)}{\mu_{a0}(\lambda_1) + \Delta\mu_a(\lambda_1)}},$$

(11)

where  $R = \Delta\mu_a(\lambda_2)/\Delta\mu_a(\lambda_1)$ . Because  $R$  is on the order of  $\mu_{a0}'(\lambda_1)/\mu_{a0}'(\lambda_2)$ , it is typically close to 1. Consequently,  $SO_2^{(t)}$  given by Eq. (11) tends to the background saturation ( $SO_2^{(0)}$ ) in the limit  $\Delta\mu_a \rightarrow 0$  and to the saturation based on  $\Delta\mu_a$  ( $SO_2$ ) in the limit  $\Delta\mu_a \gg \mu_{a0}$ . The derivative of  $SO_2^{(t)}$  with respect to  $\Delta\mu_a(\lambda_1)$  at constant  $R$  is given by:

25

$$\left( \frac{\partial \text{SO}_2^{(i)}}{\partial \Delta \mu_a^{(i,1)}} \right)_R = \frac{[\mu_{a0}(\lambda_2) - R\mu_{a0}(\lambda_1)][\varepsilon_{\text{Hb}}(\lambda_2)\varepsilon_{\text{HbO}_2}(\lambda_1) - \varepsilon_{\text{Hb}}(\lambda_1)\varepsilon_{\text{HbO}_2}(\lambda_2)]}{\left\{ [\varepsilon_{\text{Hb}}(\lambda_2) - \varepsilon_{\text{HbO}_2}(\lambda_2)][\mu_{a0}(\lambda_1) + \Delta \mu_a(\lambda_1)] + [\varepsilon_{\text{HbO}_2}(\lambda_1) - \varepsilon_{\text{Hb}}(\lambda_1)][\mu_{a0}(\lambda_2) + R\Delta \mu_a(\lambda_1)] \right\}^2} \quad (12)$$

Equation (12) shows that for any given value of  $R$ , the sign of the derivative of  $\text{SO}_2^{(i)}$  with respect to  $\Delta \mu_a(\lambda_1)$  is independent of  $\Delta \mu_a(\lambda_1)$ . As a result,  $\text{SO}_2^{(i)}$  monotonically increases (or decreases) from  $\text{SO}_2^{(0)}$  to  $\text{SO}_2$  as the tumor contrast increases. This is graphically shown in FIG. 23 for the case  $\text{SO}_2^{(0)} = 70\%$ ,  $\mu_{a0}(800 \text{ nm}) = 0.02 \text{ cm}^{-1}$  (a reasonable estimate of the background hemoglobin absorption in breast tissue), and  $\text{SO}_2$  values of 0, 20, 40, 60, 80, and 100%. Therefore, the sign of  $\text{SO}_2^{(i)} - \text{SO}_2^{(0)}$ , which indicates whether the tumor is more oxygenated or less oxygenated than the background healthy tissue, is accurately given by the sign of  $\text{SO}_2 - \text{SO}_2^{(0)}$  independent of the tumor contrast. Furthermore, FIG. 23 indicates that for  $\Delta \mu_a > 0.1 \text{ cm}^{-1}$  and tumor saturation values greater than 40%,  $\text{SO}_2^{(i)}$  and  $\text{SO}_2$  differ by no more than ~5%. Using an estimate of  $\Delta \mu_a(\lambda_1)$ , for instance on the basis of Eq. (2), can provide a refinement of the tumor saturation measurement from the values of  $\text{SO}_2$  (obtained using the new methods) and  $\text{SO}_2^{(0)}$  (measured on healthy breast tissue). This use of such an estimate is appropriate where the tumor absorption is better represented by  $\mu_{a0} + \Delta \mu_a$  than by  $\Delta \mu_a$ .

### In Vivo Results

The techniques and methods discussed above have been applied to produce images of *in vivo* tumors in human tissue. For example, FIGS. 24a1 to 24c2 show left cranio-caudal (lcc) and left oblique views (lob) of a 3.0 cm invasive ductal carcinoma in the left breast of a 53-year-old patient. The location of the lesion is known from x-ray mammography, and the nature of the lesion is known from biopsy or physical examination. FIGS. 24a1 and a2 show intensity ( $N$ ) images of light transmitted through the breast. FIGS. 24b1 and b2 show second-derivative ( $N''$ ) images of the transmitted image; and FIGS. 24c1 and c2 show the relative oxygenation index of the features.



5           The second derivative image is generated by processing the N-image through a low-pass-filter (smoothing), calculating the spatial second derivative of N at each pixel in four directions (horizontal, vertical, two diagonals), taking the minimum of the four directional second derivatives to enhance the detection of directional structures such as blood vessels, displaying on a gray scale the pixels associated with a negative second  
10       derivative (i.e., those corresponding to absorbance maxima), and setting the positive-second-derivative pixels to white.

          The cancer is detected in the left craniocaudal (lcc) view of the intensity image (FIG. 24a1), together with a conspicuous blood vessel, but is not readily visible in the left oblique (lob) view (FIG. 24a2). The image contrast is enhanced in the second-derivative  
15       (N'') images (FIGS. 24b1 and b2), which display negative second-derivative values (gray areas) at the cancer location in both lcc and lob views. However, additional inhomogeneities (most likely associated with blood vessels) appear in the second-derivative images (24b1 and b2) that were not visible in the intensity images (FIG. 24a1 and a2). The increased sensitivity afforded by the second-derivative images may be  
20       effectively complemented by the functional information provided by the oxygenation index images. In this case, the tumor location corresponds to areas of lowest oxygenation index in both the lcc and lob views (see FIGS. 24c1 and c2).

          FIGS. 25a to 25c show left cranio-caudal (lcc) views of a 1.5 benign mastopathy in the left breast of a 62-year-old patient. FIG. 25a shows an intensity (N) image of light  
25       transmitted through the breast. FIG. 25b shows a second-derivative (N'') image of the transmitted image; and FIG. 25c shows the relative oxygenation index of the features. The mastopathy is visible in both the intensity image (FIG. 25a) and the second-derivative image (FIG. 25b). However, oxygenation level of the mastopathy is relatively high, as shown in the oxygenation level image (FIG. 25c), indicating that the tumor is  
30       benign.

          FIGS. 26a to 26c show a right oblique (rob) view of benign inflammation in a breast of a 65-year-old patient. FIG. 26a shows an intensity (N) image of light  
transmitted through the breast. FIG. 26b shows a second-derivative (N'') image of the

transmitted image; and FIG. 26c shows the relative oxygenation index of the features. The inflammation shows up as the dominant feature in the N-image as well as in the N''-image, but structural information is much more defined in the N'''-image. Furthermore, the oxygenation index image shows the highest value of oxygenation in the region of the inflammation, and lower oxygenation values elsewhere, indicating that the inflammation is benign.

### OTHER EMBODIMENTS

It is to be understood that while the invention has been described in conjunction with the detailed description thereof, the foregoing description is intended to illustrate and not limit the scope of the invention, which is defined by the scope of the appended claims. Other aspects, advantages, and modifications are within the scope of the following claims. For example, the new techniques can be used to image brain tissue and assess brain activity by measuring absolute levels of oxygenation, or to image muscle tissue and identify ischemic or underperfused tissue regions.

5

## 5 WHAT IS CLAIMED IS:

1. A system comprising:

- (a) a light source for illuminating a tissue sample;
- (b) a detector for detecting light transmitted through the sample; and
- (c) a processor for calculating spatial second derivatives of products of sample

10 thicknesses and intensities of the transmitted light.

2. The system of claim 1, wherein the light source comprises a laser diode.

3. The system of claim 1, wherein the light source illuminates the sample with light having at least two wavelengths.

4. The system of claim 1, wherein the light source illuminates the sample with light  
15 having at least one wavelength in the near infrared spectrum.

5. The system of claim 1, wherein the detector comprises a photomultiplier tube or an avalanche photodiode.

6. The system of claim 1, wherein the processor is a computer.

7. The system of claim 1, wherein the spatial second derivatives,  $N''(x,y)$ , are calculated  
20 from an equation  $N(x,y) = r_0 ac_\theta r(x,y) ac(x,y)$ , wherein $x$  and  $y$  are respective  $x$ - and  $y$ -coordinates of the coplanar locations; $r_0$  is a maximum thickness of the sample; $ac_\theta$  is the intensity at the location where the thickness of the sample is  $r_0$ ; $r(x,y)$  is the thickness of the sample at location  $(x,y)$ ;25  $ac(x,y)$  is the intensity at location  $(x,y)$ ; and $N(x,y)$  is dimensionless number at a location  $(x,y)$ .

- 5 8. The system of claim 1, further comprising (d) a monitor to display an image of the spatial second derivatives.
9. The system of claim 1, further comprising (d) a computer-readable medium to store values of the spatial second derivatives.
10. A computer-readable medium comprising computer-readable instructions for causing a computer system to:
- 10 (a) receive data from a tissue sample that represent measures of thicknesses of the sample and intensities of light transmitted through the sample; and
- (b) calculate spatial second derivatives of products of the thicknesses of the sample and intensities of the transmitted light to generate values that represent an image
- 15 of the sample.
11. The computer-readable medium of claim 10, further comprising computer-readable instructions for causing the computer system calculate a spatial second derivative from an equation  $N(x,y) = r_0 ac_0 / r(x,y) ac(x,y)$  to generate values,  $N''(x,y)$ , wherein
- $x$  and  $y$  are respective x- and y-coordinates of the values;
- 20  $r_0$  is a maximum thickness of the sample;
- $ac_0$  is the intensity at a location where the thickness of the sample is  $r_0$ ;
- $r(x,y)$  is the thickness of the sample at location  $(x,y)$ ; and
- $ac(x,y)$  is the intensity at location  $(x,y)$ .

12. A computer-readable medium comprising computer-readable instructions for causing a computer system to:

(a) receive data from a sample representing measures of intensities of light transmitted through the sample for at least two wavelengths of light;

(b) select a pair of wavelengths to minimize a difference between relative changes in the intensities of the light transmitted through a region of the sample, wherein the relative changes in intensities of the light are measured relative to a background intensity of light transmitted through the sample; and

(c) process the relative changes in intensities of the light at the pair of wavelengths using measures of a background reduced scattering coefficient of the sample at the pair of wavelengths and molar extinction coefficients for deoxy-hemoglobin and oxy-hemoglobin to generate data values represent an absolute level of oxygenation of the region of the sample.

13. The computer-readable medium of claim 12, further comprising computer-readable instructions for causing the computer system to generates values of the absolute level of oxygenation,  $SO_2$ , of the region of the sample using a formula

$$SO_2 = \frac{\epsilon_{Hb}(\lambda_2) - \epsilon_{Hb}(\lambda_1) \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\max}^{(\lambda_2)}}{\Delta I / I|_{\max}^{(\lambda_1)}}}{[\epsilon_{Hb}(\lambda_2) - \epsilon_{HbO2}(\lambda_2)] + [\epsilon_{HbO2}(\lambda_1) - \epsilon_{Hb}(\lambda_1)] \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\max}^{(\lambda_2)}}{\Delta I / I|_{\max}^{(\lambda_1)}}},$$

wherein:

$\Delta I / I_0|_{\max}^{(\lambda_1)}$  is a maximum value of a relative change in intensity at a first wavelength in the pair of wavelengths;

$\Delta I / I_0|_{\max}^{(\lambda_2)}$  is a maximum value of a relative change in intensity at a second wavelength in the pair of wavelengths;

5  $\frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)}$  is a ratio of the background reduced scattering coefficient at the first wavelength to the background reduced scattering coefficient at the second wavelength;  
 $\epsilon_{Hb}(\lambda_1)$  and  $\epsilon_{Hb}(\lambda_2)$  are the molar extinction coefficients for deoxy-hemoglobin at the first and second wavelengths; and  
 $\epsilon_{HbO_2}(\lambda_1)$  and  $\epsilon_{HbO_2}(\lambda_2)$  are the molar extinction coefficients for oxy-hemoglobin  
 10 at the first and second wavelengths.

14. A method of detecting a region of a tissue sample having an optical absorption level different from a background optical absorption level, the method comprising:

(a) obtaining thicknesses of the sample and intensities of light transmitted through  
 15 the sample at a plurality of locations;

(b) calculating spatial second derivatives of products of the sample thicknesses and the intensities of the transmitted light at the locations; and

(c) comparing the spatial second derivatives to detect a region of the sample having an optical absorption level different from a background level of optical absorption  
 20 in the sample.

15. The method of claim 14, where the tissue sample is selected from the group consisting of breast tissue, brain tissue, and muscle tissue.

16. The method of claim 14, wherein the optical absorption is a characteristic of absorption of light by hemoglobin in the sample.

25 17. The method of claim 14, wherein the region of the sample having an optical absorption level different from the background level of optical absorption within the sample comprises a lesion, tumor, or cancerous tissue.

18. The method of claim 14, wherein the transmitted light is generated by coplanar sources and wherein the plurality of locations are coplanar.

- 5 19. The method of claim 18, wherein the spatial second derivatives,  $N''(x,y)$ , are calculated from an equation  $N(x,y) = r_0 ac_0 / r(x,y) ac(x,y)$ , wherein
- $x$  and  $y$  are respective x- and y-coordinates of the coplanar locations;
- $r_0$  is a maximum thickness of the sample;
- $ac_0$  is an intensity at the location where the thickness of the sample is  $r_0$ ;
- 10  $r(x,y)$  is a thickness of the sample at location  $(x,y)$ ;
- $ac(x,y)$  is an intensity at location  $(x,y)$ ; and
- $N(x,y)$  is a dimensionless number at a location  $(x,y)$ .
20. The method of claim 14, further comprising:
- (d) illuminating the sample with light; and
- 15 (e) detecting light transmitted through the sample at a plurality of locations.
21. The method of claim 20, wherein the light comprises light having at least two wavelengths.
22. The method of claim 20, wherein the light comprises light having at least one wavelength in the near infrared spectrum.
- 20 23. The method of claim 14, further comprising:
- (f) displaying an image of the spatial second derivatives at the plurality of locations.
24. The method of claim 14, further comprising:
- (f) storing values of the spatial second derivatives in a computer-readable medium.
- 25 25. A method of calculating an absolute oxygenation level of a region of a tissue sample, the method comprising:
- (a) selecting a pair of wavelengths of light to minimize a difference between relative changes in intensity of light transmitted through a region of the sample, wherein

5 relative changes in intensity of the light are measured relative to a background intensity of light transmitted through the sample;

(b) obtaining measures of background reduced scattering coefficients of the sample at the pair of wavelengths; and

10 (c) calculating an absolute oxygenation level of the region of the sample using the relative changes in intensity of the light at the pair of wavelengths and the measures of the background reduced scattering coefficients of the sample at the pair of wavelengths.

26. The method of claim 25, wherein the tissue sample is selected from the group consisting of breast tissue, brain tissue, and muscle tissue.

15 27. The method of claim 25, wherein the region of the sample comprises a lesion, tumor, or cancerous tissue.

28. The method of claim 25, wherein the pair of wavelengths of light are in the near infrared spectrum.

29. The method of claim 25, wherein the difference between the relative changes in intensity is zero.

20 30. The method of claim 25, wherein the absolute oxygenation level of the region is calculated using a formula

$$SO_2 = \frac{\varepsilon_{Hb}(\lambda_2) - \varepsilon_{Hb}(\lambda_1) \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\max}^{(\lambda_2)}}{\Delta I / I_0|_{\max}^{(\lambda_1)}}}{[\varepsilon_{Hb}(\lambda_2) - \varepsilon_{HbO_2}(\lambda_2)] + [\varepsilon_{HbO_2}(\lambda_1) - \varepsilon_{Hb}(\lambda_1)] \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\max}^{(\lambda_2)}}{\Delta I / I_0|_{\max}^{(\lambda_1)}}},$$

wherein:

$SO_2$  is the oxygenation level of the region;

25  $\Delta I / I_0|_{\max}^{(\lambda_i)}$  is a maximum value of a relative change in intensity at a first



5 wavelength in the pair of wavelengths;

$\Delta I / I_0|_{\lambda_{\max}}^{(\lambda_2)}$  is a maximum value of a relative change in intensity at a second wavelength in the pair of wavelengths;

$\frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)}$  is a ratio of a background reduced scattering coefficient at the first wavelength to a background reduced scattering coefficient at the second wavelength;

10  $\epsilon_{\text{Hb}}(\lambda_1)$  and  $\epsilon_{\text{Hb}}(\lambda_2)$  are molar extinction coefficients for deoxy-hemoglobin at the first and second wavelengths; and

$\epsilon_{\text{HbO}_2}(\lambda_1)$  and  $\epsilon_{\text{HbO}_2}(\lambda_2)$  are molar extinction coefficients for oxy-hemoglobin at the first and second wavelengths.

31. The method of claim 25, further comprising:

- 15 (d) illuminating the sample with a plurality of wavelengths of light; and  
(e) detecting light transmitted through the sample at a plurality of locations.

32. The method of claim 25, further comprising (d) displaying an image of the absolute oxygenation level of the region at the plurality of locations.

33. The method of claim 25, further comprising (d) storing values of the absolute  
20 oxygenation level of the region in a computer-readable medium.

34. A method of determining whether a tumor in a tissue sample is malignant or benign, the method comprising:

- (a) selecting two wavelengths of light to minimize a difference between relative changes in intensity of light transmitted through the tumor for the two wavelengths,  
25 wherein the relative changes in intensity of the light for each wavelength are measured relative to a background intensity of light transmitted through the sample;  
(b) obtaining measures of background reduced scattering coefficients of the sample at the two wavelengths;

(c) calculating an absolute oxygenation level of the tumor in the sample by using the relative changes in intensity of the light for the two wavelengths and the measures of the background reduced scattering coefficients of the sample for the two wavelengths; and

(d) determining whether the tumor is malignant or benign based on the value of calculated absolute oxygenation level.

35. The method of claim 34, wherein the tissue sample is selected from the group consisting of breast tissue, brain tissue, and muscle tissue.

36. The method of claim 34, wherein the two wavelengths of light are in the near infrared spectrum.

37. The method of claim 34, wherein the difference between the relative changes in intensity is zero.

38. The method of claim 34, wherein the absolute oxygenation level of the tumor is calculated using a formula

$$SO_2 = \frac{\varepsilon_{Hb}(\lambda_2) - \varepsilon_{Hb}(\lambda_1) \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\max}^{(\lambda_2)}}{\Delta I / I_0|_{\max}^{(\lambda_1)}}}{[\varepsilon_{Hb}(\lambda_2) - \varepsilon_{HbO_2}(\lambda_2)] + [\varepsilon_{HbO_2}(\lambda_1) - \varepsilon_{Hb}(\lambda_1)] \frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)} \frac{\Delta I / I_0|_{\max}^{(\lambda_2)}}{\Delta I / I_0|_{\max}^{(\lambda_1)}}},$$

wherein:

$SO_2$  is the oxygenation level of the tumor;

$\Delta I / I_0|_{\max}^{(\lambda_1)}$  is a maximum value of the relative change in intensity at a first wavelength in the pair of wavelengths;

$\Delta I / I_0|_{\max}^{(\lambda_2)}$  is a maximum value of the relative change in intensity at a second wavelength in the pair of wavelengths;

- 5  $\frac{\mu'_{s0}(\lambda_1)}{\mu'_{s0}(\lambda_2)}$  is a ratio of the background reduced scattering coefficient at the first wavelength to the background reduced scattering coefficient at the second wavelength;  
 $\epsilon_{Hb}(\lambda_1)$  and  $\epsilon_{Hb}(\lambda_2)$  are molar extinction coefficients for deoxy-hemoglobin at the first and second wavelengths; and  
 $\epsilon_{HbO2}(\lambda_1)$  and  $\epsilon_{HbO2}(\lambda_2)$  are molar extinction coefficients for oxy-hemoglobin at  
 10 the first and second wavelengths.

39. The method of claim 34, further comprising:

- (e) illuminating the sample with a plurality of wavelengths of light; and
- (f) detecting light transmitted through the sample at a plurality of locations.

40. The method of claim 39, further comprising (g) displaying an image of the absolute  
 15 oxygenation level at the plurality of locations within the tissue sample.

41. The method of claim 34, further comprising, (e) storing values of the absolute oxygenation level of the tumor in a computer-readable medium.

42. A method of determining whether a tumor in a tissue sample is malignant or benign, the method comprising:

- 20 (a) obtaining thicknesses of the sample and intensities of light transmitted through the sample at a plurality of locations for two wavelengths of light;
- (b) calculating spatial second derivatives of products of the sample thicknesses and the intensities of the transmitted light at the locations for the two wavelengths of light;
- 25 (c) calculating an oxygenation level of the tumor based on the spatial second derivatives for the two wavelengths of light, the molar extinction coefficients of oxy-hemoglobin for the two the wavelengths of light, the molar extinction coefficients of hemoglobin for the two wavelengths of light, relative changes in intensity of the light for the two wavelengths of light and the measures of the background reduced scattering

5 coefficients of the sample for the two wavelengths of light; and

(d) calculating an oxygenation level of non-tumor regions of the tissue sample based on the spatial second derivatives for the two wavelengths of light, the molar extinction coefficients of oxy-hemoglobin for the two wavelengths of light, the molar extinction coefficients of hemoglobin for the two wavelengths of light, relative changes  
10 in intensity of the light for the two wavelengths of light, and the measures of the background reduced scattering coefficients of the sample for the two wavelengths of light; and

(e) comparing the oxygenation level of the tumor with the oxygenation level of non-tumor regions of the sample to determine whether the tumor is malignant or benign.

15 43. The method of claim 42, wherein the tissue sample is selected from the group consisting of breast tissue, brain tissue, and muscle tissue.

44. The method of claim 42, wherein the two wavelengths of light are in the near infrared spectrum.

45. The method of claim 42, wherein the oxygenation level of a region of the sample is  
20 calculated using a formula

$$OL = \frac{\Delta[HbO_2]^*}{\Delta[HbO_2]^* + \Delta[Hb]^*}, \text{ wherein}$$

$$\Delta[HbO_2]^* = \frac{\left( \sum_i N''(\lambda_i) \epsilon_{HbO_2}(\lambda_i) \right) \left( \sum_i \epsilon_{Hb}^2(\lambda_i) \right) - \left( \sum_i N''(\lambda_i) \epsilon_{Hb}(\lambda_i) \right) \left( \sum_i \epsilon_{HbO_2}(\lambda_i) \epsilon_{Hb}(\lambda_i) \right)}{\left( \sum_i \epsilon_{HbO_2}^2(\lambda_i) \right) \left( \sum_i \epsilon_{Hb}^2(\lambda_i) \right) - \left( \sum_i \epsilon_{HbO_2}(\lambda_i) \epsilon_{Hb}(\lambda_i) \right)^2},$$

$$\Delta[\text{Hb}]^* = \frac{\left( \sum_i N''(\lambda_i) \epsilon_{\text{Hb}}(\lambda_i) \right) \left( \sum_i \epsilon_{\text{HbO}_2}^2(\lambda_i) \right) - \left( \sum_i N''(\lambda_i) \epsilon_{\text{HbO}_2}(\lambda_i) \right) \left( \sum_i \epsilon_{\text{HbO}_2}(\lambda_i) \epsilon_{\text{Hb}}(\lambda_i) \right)}{\left( \sum_i \epsilon_{\text{HbO}_2}^2(\lambda_i) \right) \left( \sum_i \epsilon_{\text{Hb}}^2(\lambda_i) \right) - \left( \sum_i \epsilon_{\text{HbO}_2}(\lambda_i) \epsilon_{\text{Hb}}(\lambda_i) \right)^2}$$

and wherein:

OL is the oxygenation level of the tumor;

$i$  is a wavelength index for the two wavelengths;

$\epsilon_{\text{HbO}_2}$  and  $\epsilon_{\text{Hb}}$  are the molar extinction coefficients of oxy-hemoglobin and deoxy-hemoglobin, respectively;

$\Delta[\text{HbO}_2]^*$  and  $\Delta[\text{Hb}]^*$  are relative values for the spatial changes in the concentrations of oxy-hemoglobin and deoxy-hemoglobin, respectively; and

$N''$  is a spatial second derivative of an intensity of transmitted light.

46. The method of claim 42, further comprising:

(f) illuminating the sample with a plurality of wavelengths of light; and

(g) detecting light transmitted through the sample at a plurality of locations.

47. The method of claim 42, further comprising, (f) displaying an image of oxygenation levels at the plurality of locations within the tissue sample.

48. The method of claim 42, further comprising, (f) storing values of oxygenation levels at a plurality of locations within the tissue sample in a computer-readable medium.

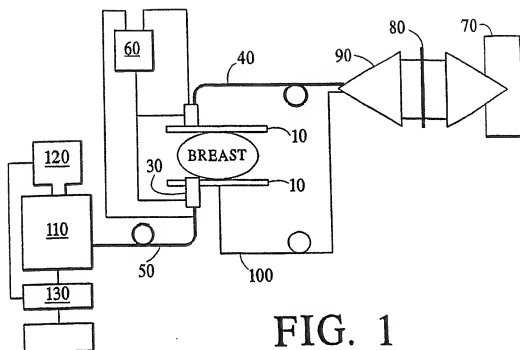


FIG. 1

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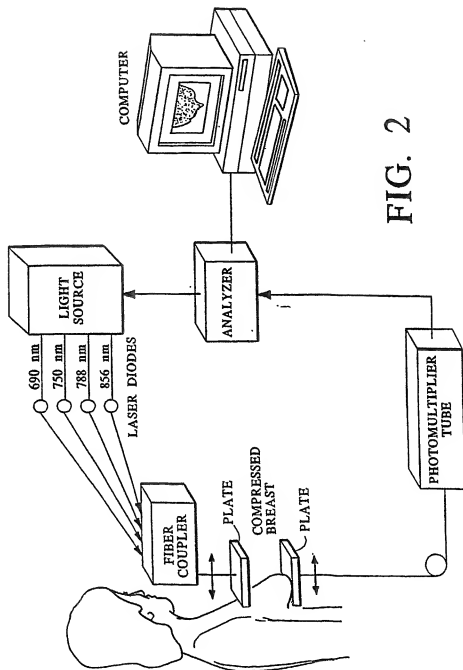


FIG. 2

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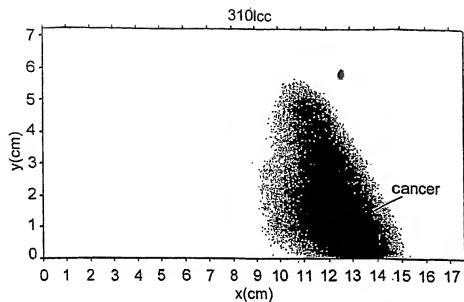


FIG. 3

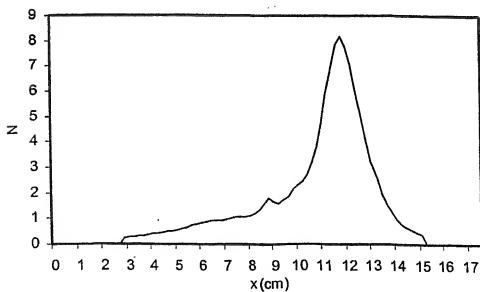


FIG. 4



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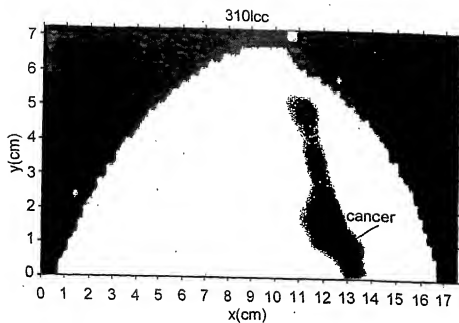


FIG. 5

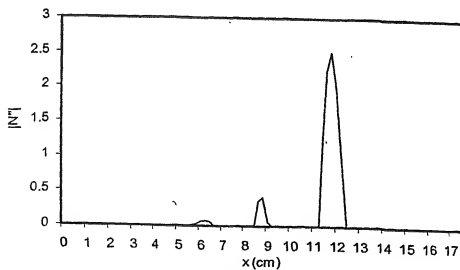


FIG. 6

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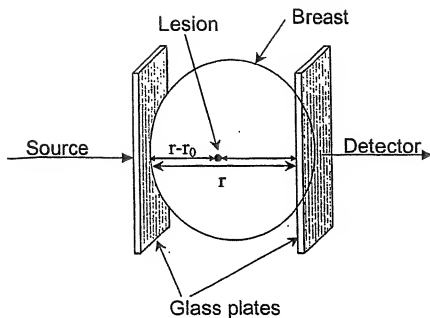


FIG. 7

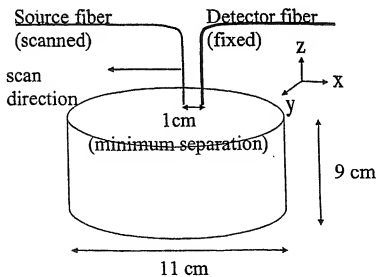


FIG. 8

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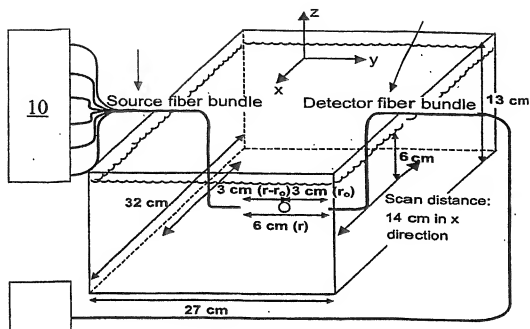


FIG. 9

$\lambda$ (nm)	Silicone Mixture A		Silicone Mixture B		Liposyn suspension	
	$\mu_s$ (cm <sup>-1</sup> )	$\mu_s'$ (cm <sup>-1</sup> )	$\mu_s$ (cm <sup>-1</sup> )	$\mu_s'$ (cm <sup>-1</sup> )	$\mu_s$ (cm <sup>-1</sup> )	$\mu_s'$ (cm <sup>-1</sup> )
752	0.062(3)	9.8(4)	0.148(6)	9.3(4)	0.029(1)	12.2(6)
778	0.055(2)	9.7(4)	0.127(5)	9.8(4)	0.028(1)	11.7(6)
786	0.058(2)	9.8(4)	0.130(5)	9.8(4)	0.026(1)	11.6(6)
813	0.055(2)	9.3(4)	0.119(5)	9.6(4)	0.024(1)	11.1(5)
830	0.054(2)	9.4(4)	0.117(5)	9.6(4)	0.031(2)	10.5(5)
840	0.053(2)	8.9(4)	0.111(5)	9.4(4)	0.039(2)	10.2(5)

FIG. 10

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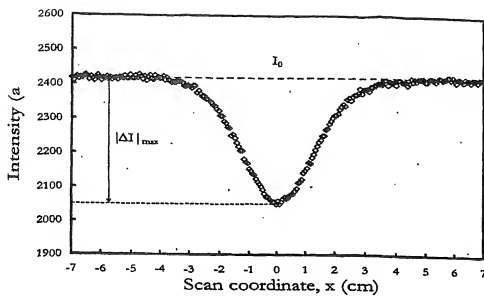


FIG. 11

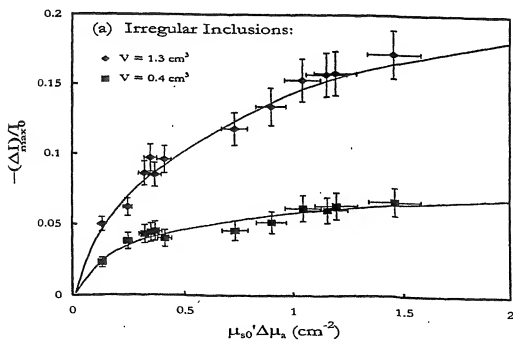


FIG. 12

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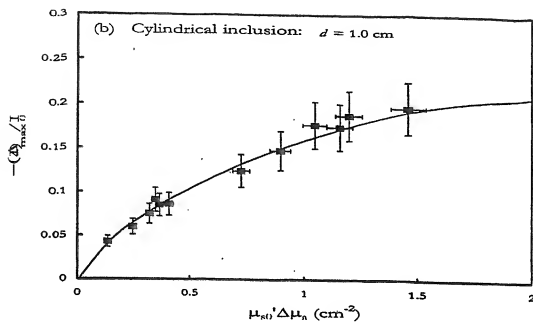


FIG. 13

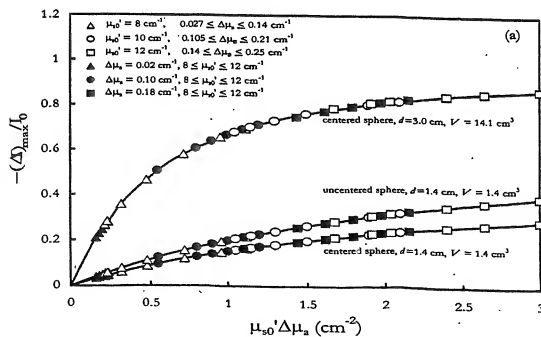


FIG. 14

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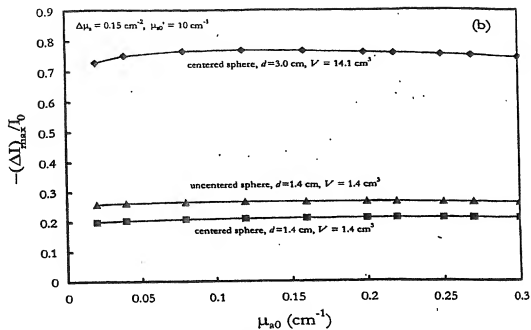


FIG. 15

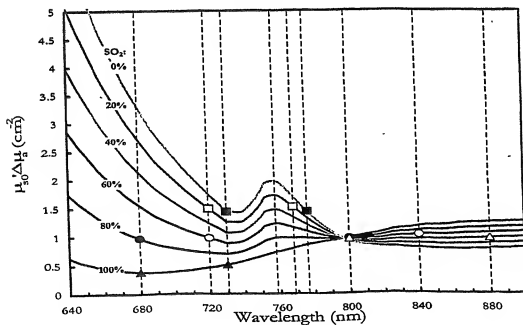


FIG. 16

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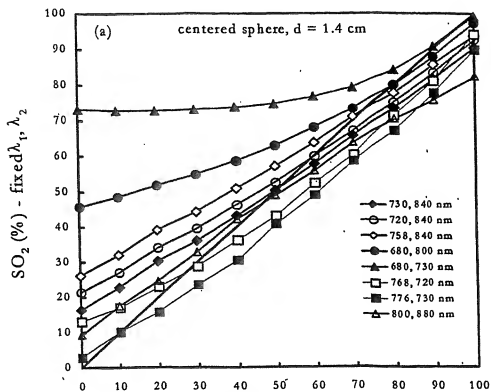


FIG. 17

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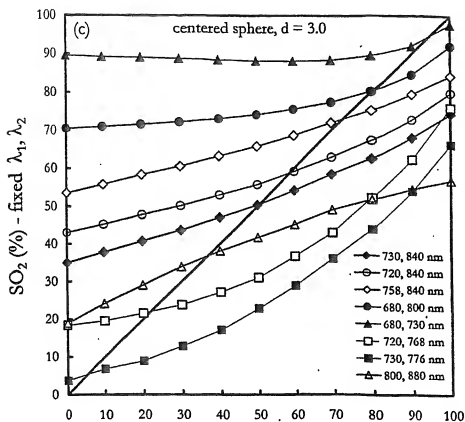


FIG. 18



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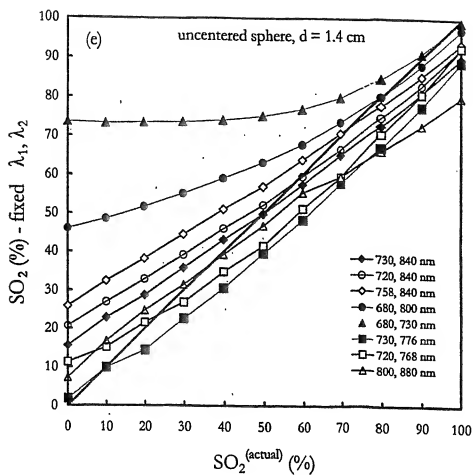


FIG. 19

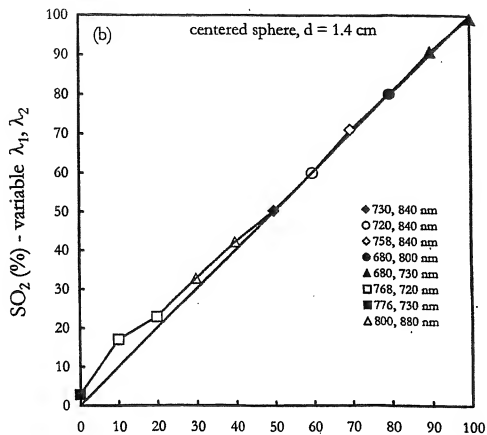


FIG. 20

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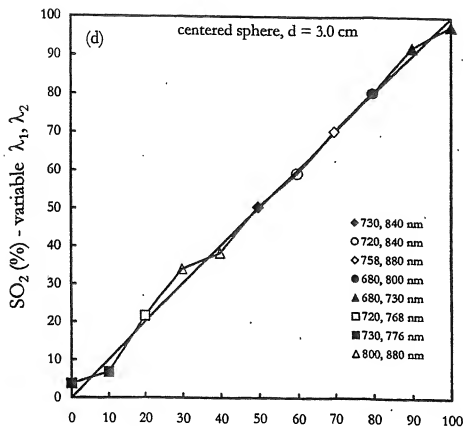


FIG. 21

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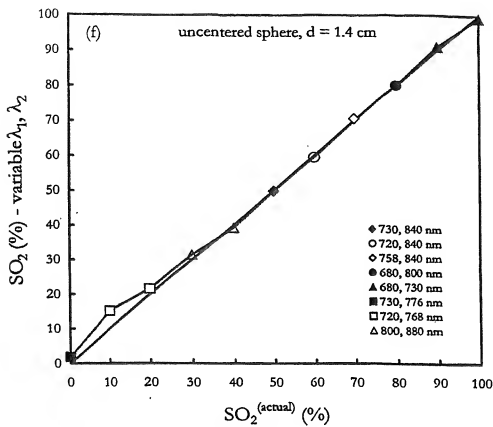


FIG. 22

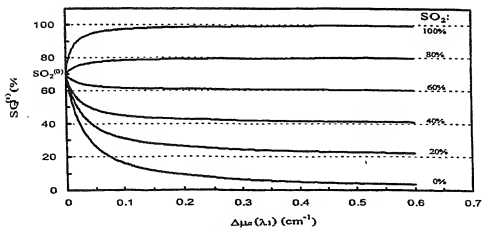


FIG. 23

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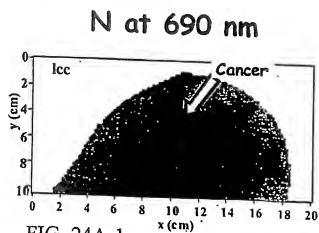


FIG. 24A-1

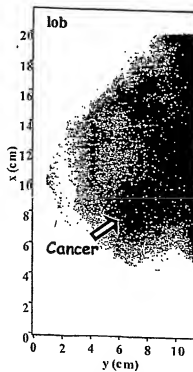


FIG. 24A-2

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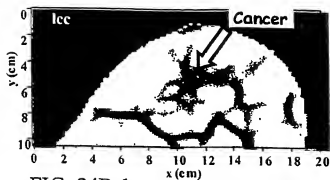
 $N''$  at 690 nm

FIG. 24B-1

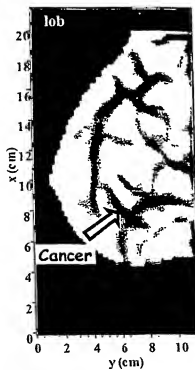


FIG. 24B-2

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## Oxygenation Index

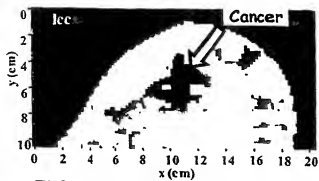


FIG. 24C-1

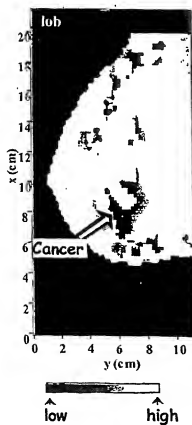


FIG. 24C-2

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N at 690 nm

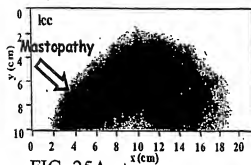


FIG. 25A

N'' at 690 nm

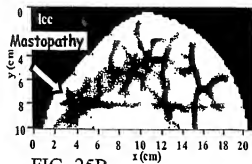


FIG. 25B

Oxygenation Index

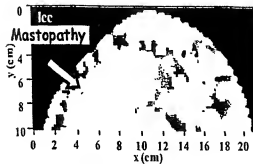


FIG. 25C

low high



Oxygenation Index

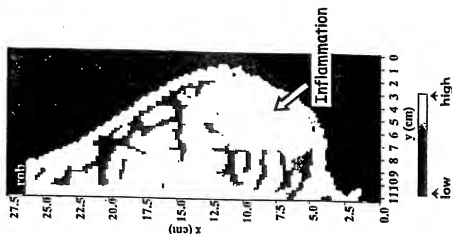


FIG. 26C

N" at 690 nm

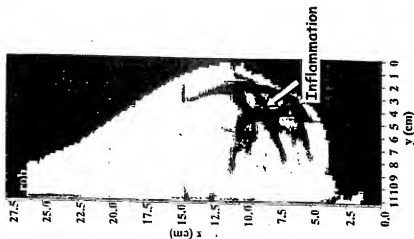


FIG. 26B

N at 690 nm

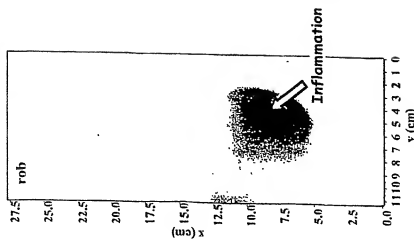


FIG. 26A

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/07766

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A 61B 5/00  
 US CL : 600/322,473,476

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 600/322,473,476,323,309,310, 356/39,41

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 EPO,JPO,Derwent,Google,Medline: search terms: light, imaging, second spatial derivative, tissue

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	Hanson, Kenneth M. Optical tomography: seeing inside the body. A presentation available under <a href="http://home.lanl.gov/kmh">http://home.lanl.gov/kmh</a> , slides 13-17	1-11,14-24,42-48
A	US 5,839,141 A (Makram-Ebeid et al.)03 November 1998 (03.11.1998), column 4, line 30 to column column 6, line 59	1-11,14-24,42-48
A	US 5,285,783 A (Secker) 15 February 1994 (15.02.1994), column 3, lines 11-49	12-13,25-41
A	US 6,226,540 B1 (Bernreuter)01 May 2001 (01.05.2001), column 2, line 15 to column 3, line 5	12-13,25-41

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later documents published after the international filing date or priority date and not in conflict with the application, but cited to understand the principle or theory underlying the invention
"E" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"Z" document member of the same patent family
"P" documents published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

06 June 2003 (06.06.2003)

Date of mailing of the international search report

05 AUG 2003

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Form PCT/ISA/210 (second sheet) (July 1998)